

First Results from MiniBooNE

April 11, 2007

William Louis, Janet Conrad

for the MiniBooNE Collaboration

The MiniBooNE Collaboration

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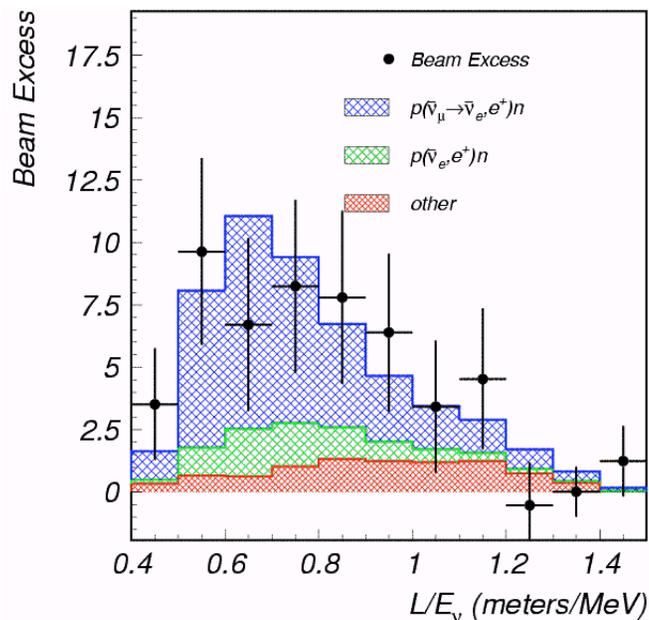
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Princeton University
Saint Mary's University of Minnesota
Virginia Polytechnic Institute
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- Introduction
- The Neutrino Beam
- Events in the Detector
- Two Independent Analyses
- Errors, Constraints and Sensitivity
- Initial Results

MiniBooNE was approved in 1998,
with the goal of addressing the LSND anomaly:

an excess of $\bar{\nu}_e$ events in a $\bar{\nu}_\mu$ beam,
 $87.9 \pm 22.4 \pm 6.0$ (3.8σ)

which can be interpreted as $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ oscillations:



Points -- LSND data
Signal (blue)
Backgrounds (red, green)

LSND Collab, PRD 64, 112007

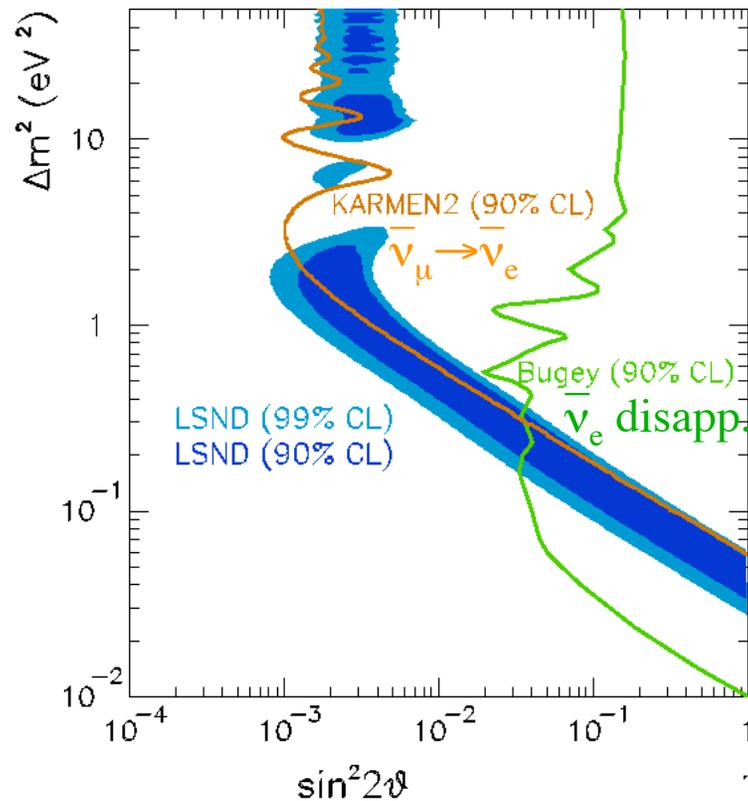
Within a $\nu_\mu \rightarrow \nu_e$ appearance model

$$P_{osc} = \sin^2 2\theta \sin^2(1.27 \Delta m^2 L/E)$$

mixing angle

squared mass difference

$\frac{\text{travel distance}}{\text{energy}}$
of the neutrinos



This model allows comparison to other experiments:

Karmen2

Bugey

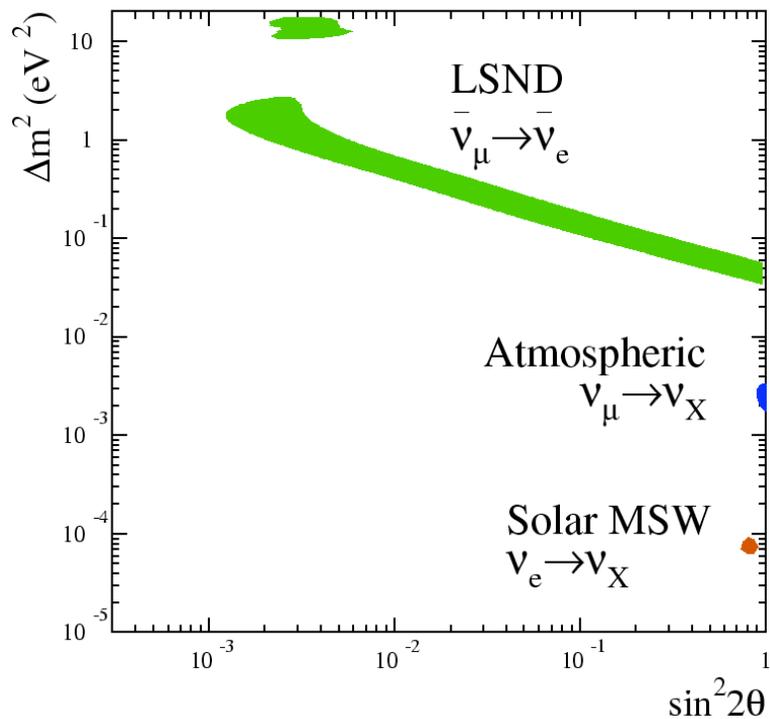
Joint analysis with Karmen2:

64% compatible

Church, et al., PRD 66, 013001

This is a simplistic interpretation.

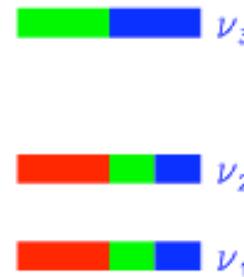
$$P_{osc} = \sin^2 2\theta \sin^2(1.27\Delta m^2 L/E)$$



A 3 neutrino picture requires

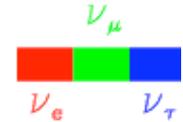
$$\Delta m_{13}^2 = \Delta m_{12}^2 + \Delta m_{23}^2$$

increasing (mass)²



$$\Delta m_{23}^2 = m_2^2 - m_3^2$$

$$\Delta m_{12}^2 = m_1^2 - m_2^2$$



The three oscillation signals cannot be reconciled without introducing Beyond Standard Model Physics

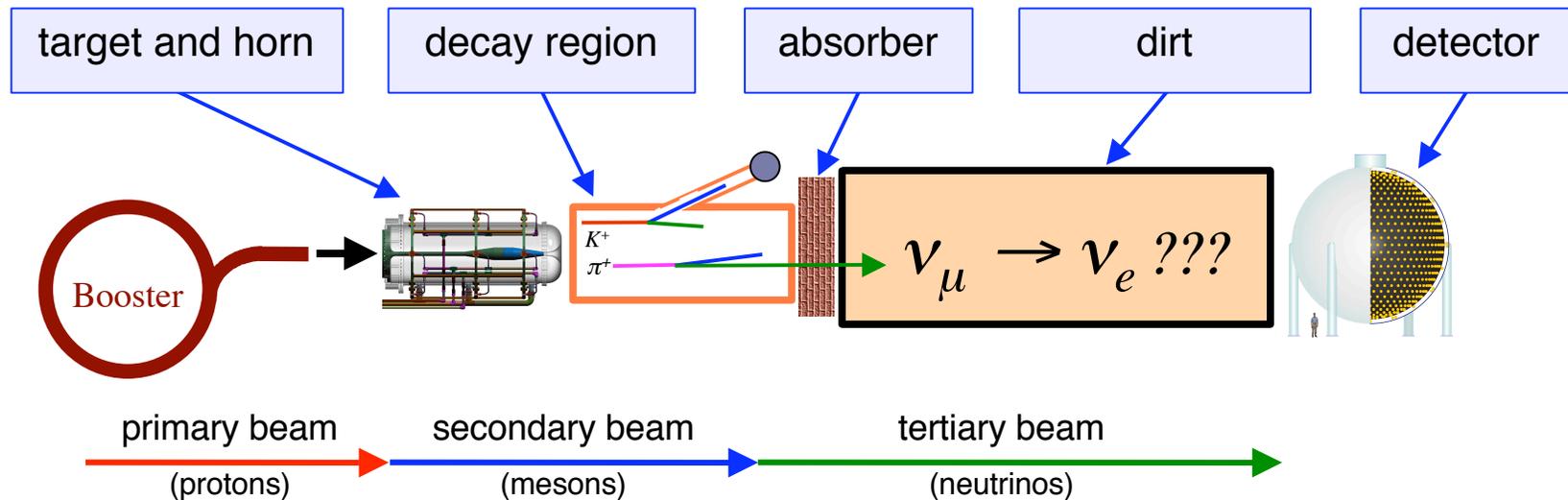
However a test of LSND within the context of $\nu_{\mu} \rightarrow \nu_e$ appearance (no disappearance) is an essential first step:

- This is the simplest model which explains LSND.
- This model allows cross comparison with published oscillation results from LSND and other relevant past experiments (e.g. Karmen)

MiniBooNE's Design Strategy...

Keep L/E same
while changing systematics, energy & event signature

$$P(\nu_{\mu} \rightarrow \nu_e) = \sin^2 2\theta \sin^2(1.27 \Delta m^2 L/E)$$



Order of magnitude
higher energy (~500 MeV)
than LSND (~30 MeV)

Order of magnitude
longer baseline (~500 m)
than LSND (~30 m)

*Today we report MiniBooNE's initial results
on testing the LSND anomaly:*

- A generic search for a ν_e excess in our ν_μ beam,
- An analysis of the data within a $\nu_\mu \rightarrow \nu_e$ appearance context

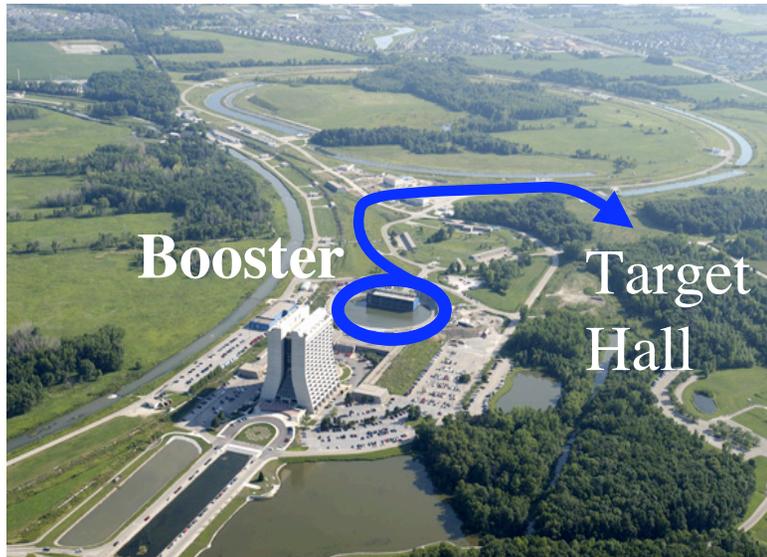
This was a blind analysis.

The box was opened on March 26, 2007

Two independent analyses were performed.

The primary analysis was chosen based on $\nu_\mu \rightarrow \nu_e$ sensitivity,
prior to unblinding.

The Neutrino Beam



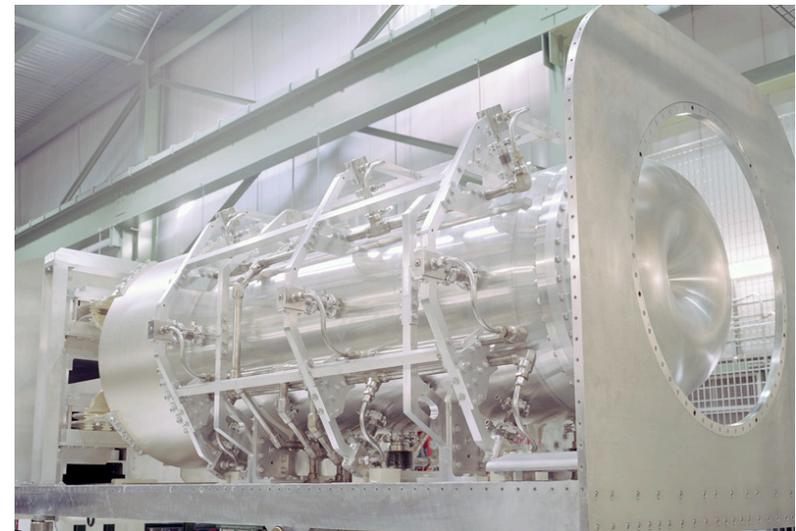
MiniBooNE extracts beam
from the 8 GeV Booster

Delivered to a 1.7λ Be target

4×10^{12} protons per $1.6 \mu\text{s}$ pulse
delivered at up to 5 Hz.

6.3×10^{20} POT delivered.

Results correspond to
 $(5.58 \pm 0.12) \times 10^{20}$ POT



within a magnetic horn
(**2.5 kV, 174 kA**) that
(increases the flux by $\times 6$)

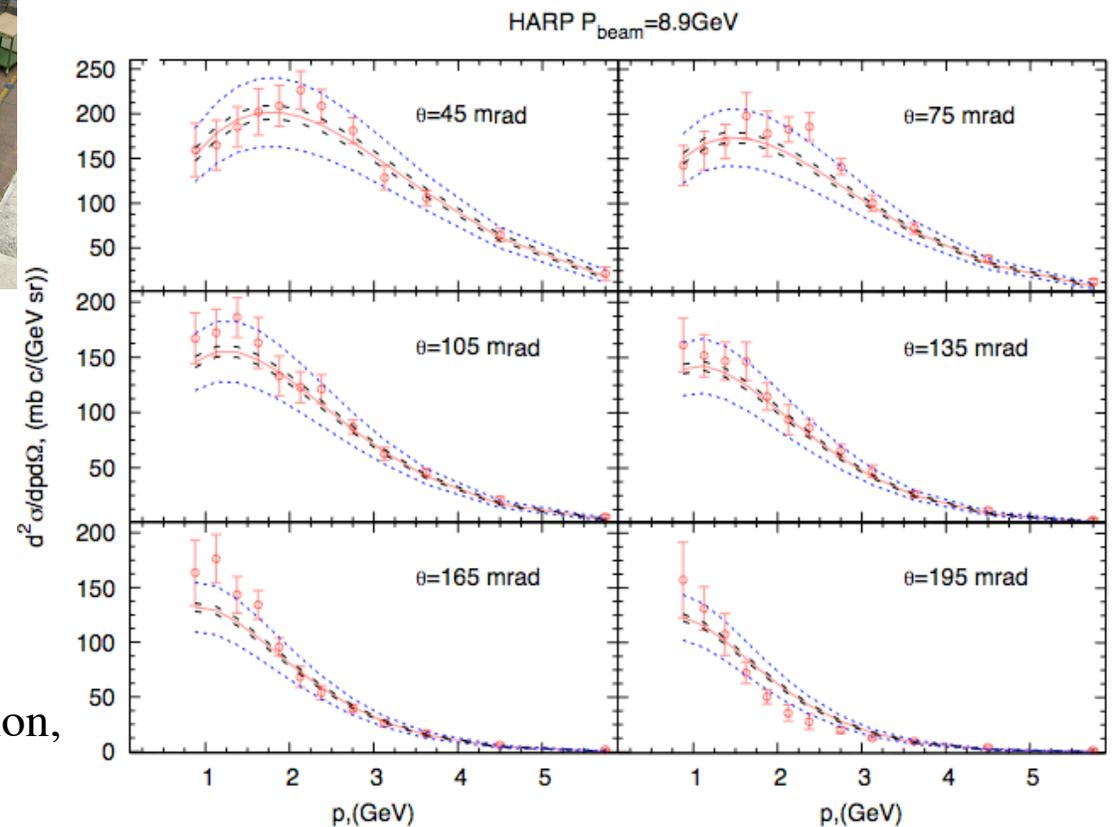
Modeling Production of Secondary Pions



- HARP (CERN)
 - 5% λ Beryllium target
 - 8.9 GeV proton beam momentum

Data are fit to
a Sanford-Wang
parameterization.

HARP collaboration,
hep-ex/0702024



Modeling Production of Secondary Kaons

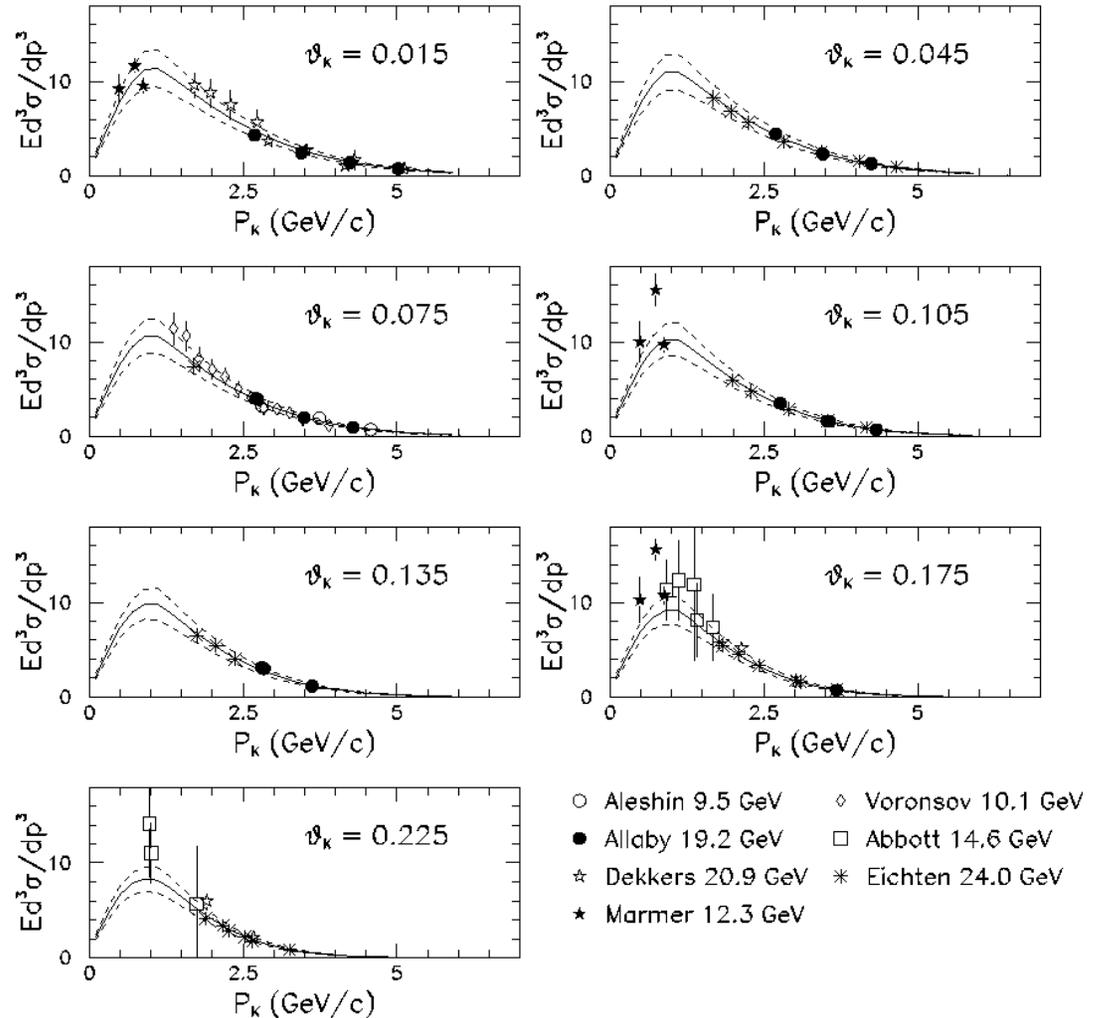
K^+ Data from 10 - 24 GeV.
Uses a Feynman Scaling
Parameterization.

data -- points
dash --total error
(fit \oplus parameterization)

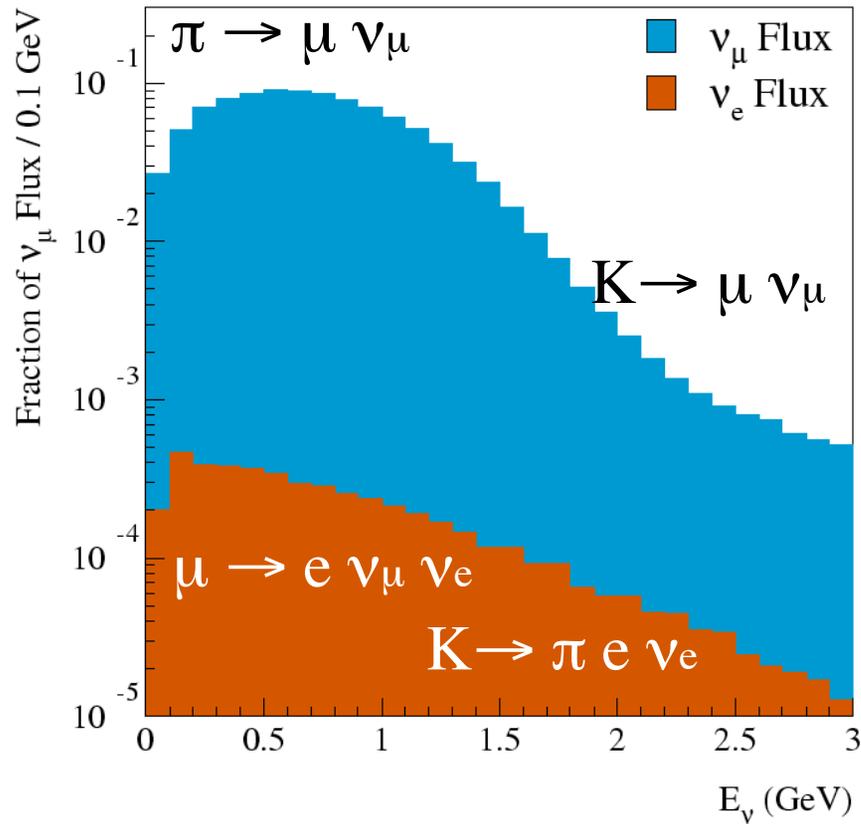
K^0 data are also
parameterized.

*In situ measurement
of K^+ from LMC
agrees within errors
with parameterization*

K^+ Production Data and Fit (Scaled to $P_{beam} = 8.89$ GeV)



Neutrino Flux from GEANT4 Simulation



“Intrinsic” $\nu_e + \bar{\nu}_e$ sources:

$$\mu^+ \rightarrow e^+ \bar{\nu}_\mu \nu_e \quad (52\%)$$

$$K^+ \rightarrow \pi^0 e^+ \nu_e \quad (29\%)$$

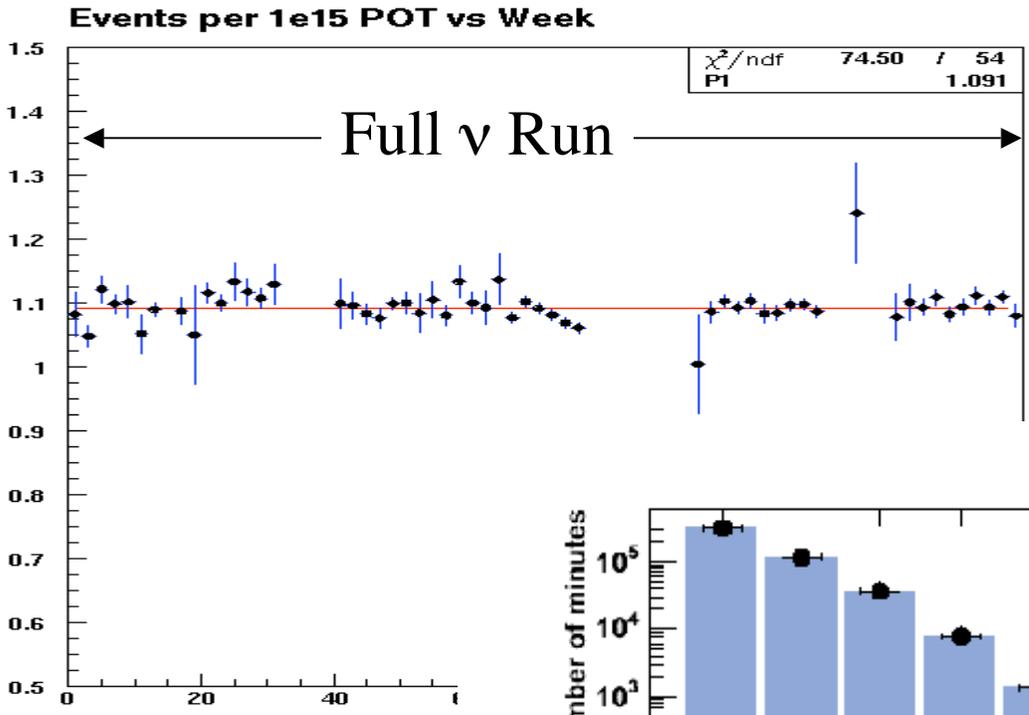
$$K^0 \rightarrow \pi e \nu_e \quad (14\%)$$

$$\text{Other} \quad (5\%)$$

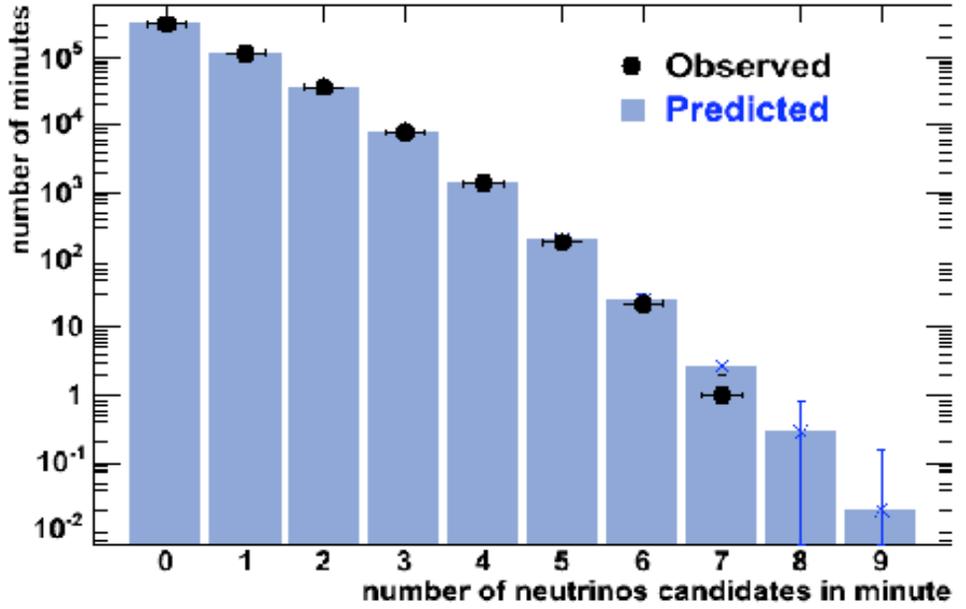
$$\nu_e / \nu_\mu = 0.5\%$$

Antineutrino content: 6%

Stability of running:

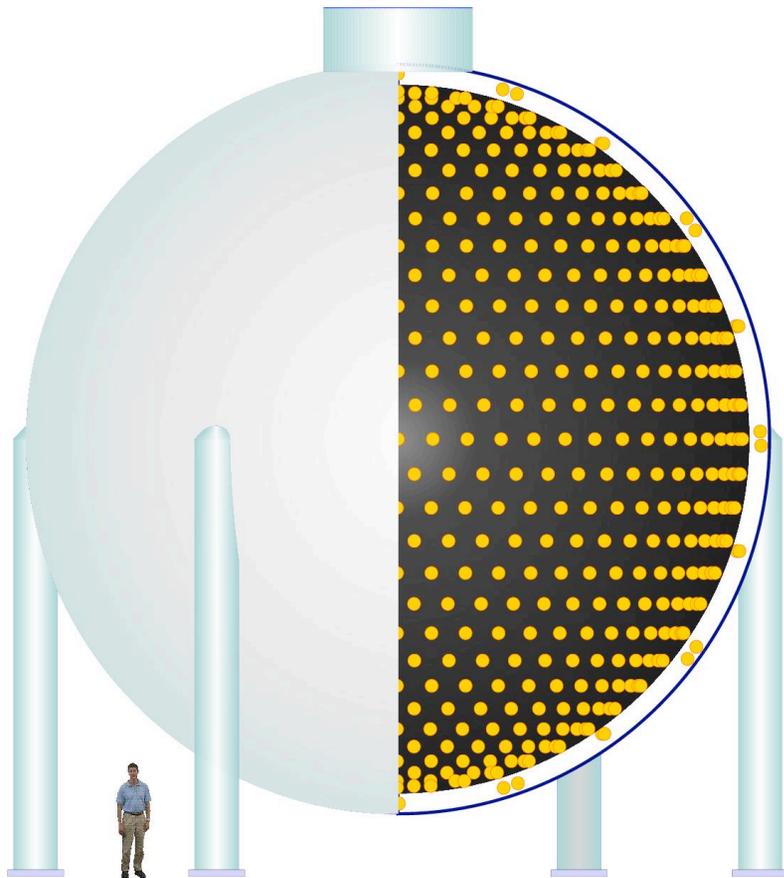


Observed and expected events per minute

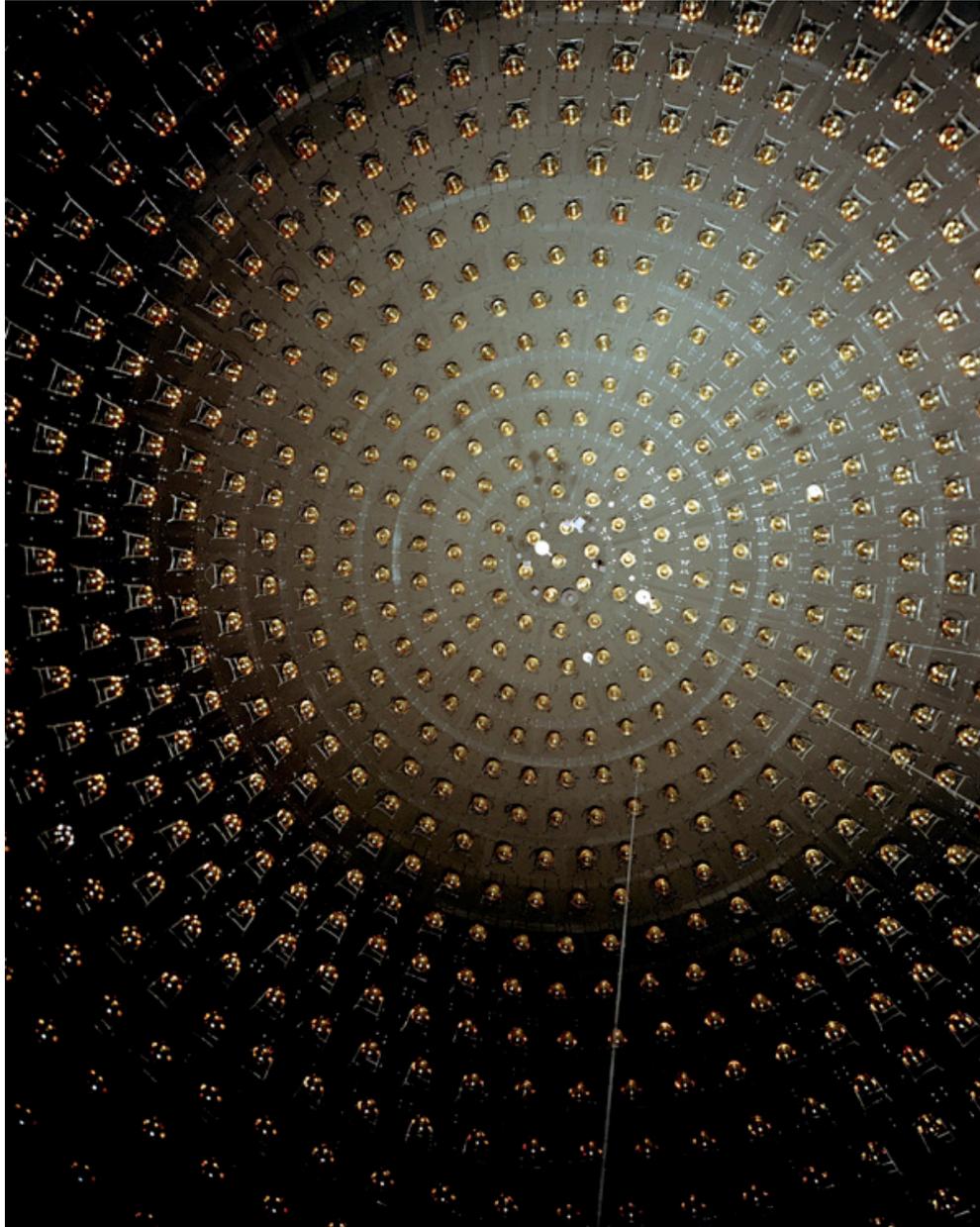


Events in the Detector

The MiniBooNE Detector



- 541 meters downstream of target
- 3 meter overburden
- 12 meter diameter sphere
 - (10 meter “fiducial” volume)
- Filled with 800 t
 - of pure mineral oil (CH_2)
 - (Fiducial volume: 450 t)
- 1280 inner phototubes,
 - 240 veto phototubes
- Simulated with a GEANT3 Monte Carlo



10% Photocathode coverage

Two types of
Hamamatsu Tubes:
R1408, R5912

Charge Resolution:
1.4 PE, 0.5 PE

Time Resolution
1.7 ns, 1.1ns



Optical Model

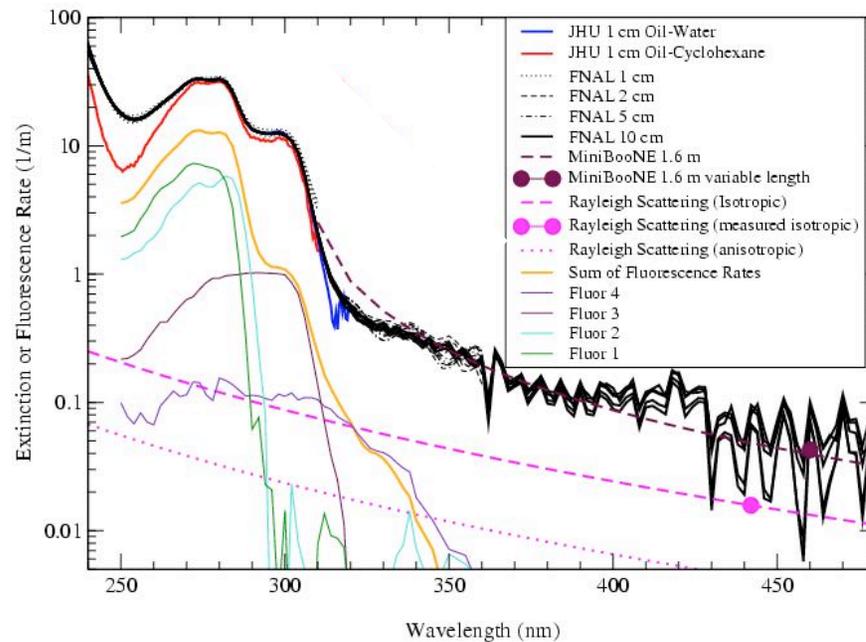
Attenuation length: >20 m @ 400 nm

Detected photons from

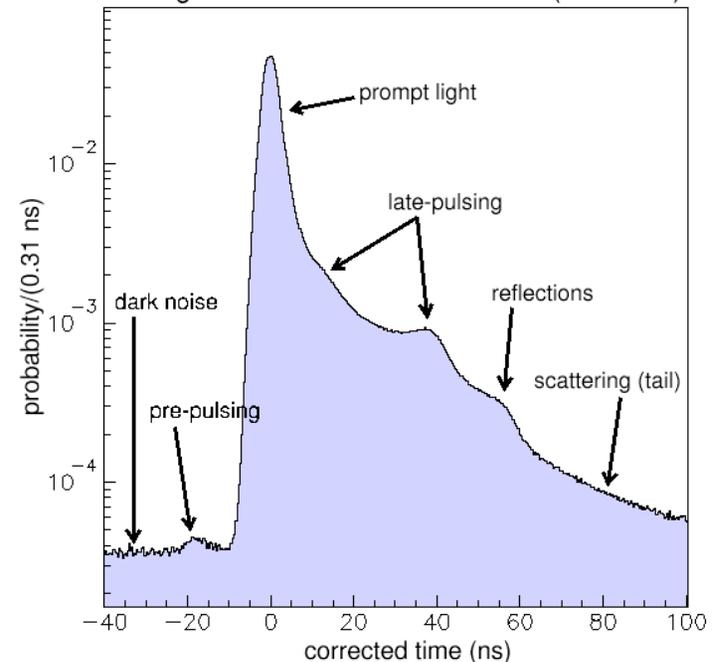
- Prompt light (Cherenkov)
- Late light (scintillation, fluorescence)
in a 3:1 ratio for $\beta \sim 1$

*We have developed
39-parameter
“Optical Model”
based on internal calibration
and external measurement*

Extinction Rate for MiniBooNE Marcol 7 Mineral Oil



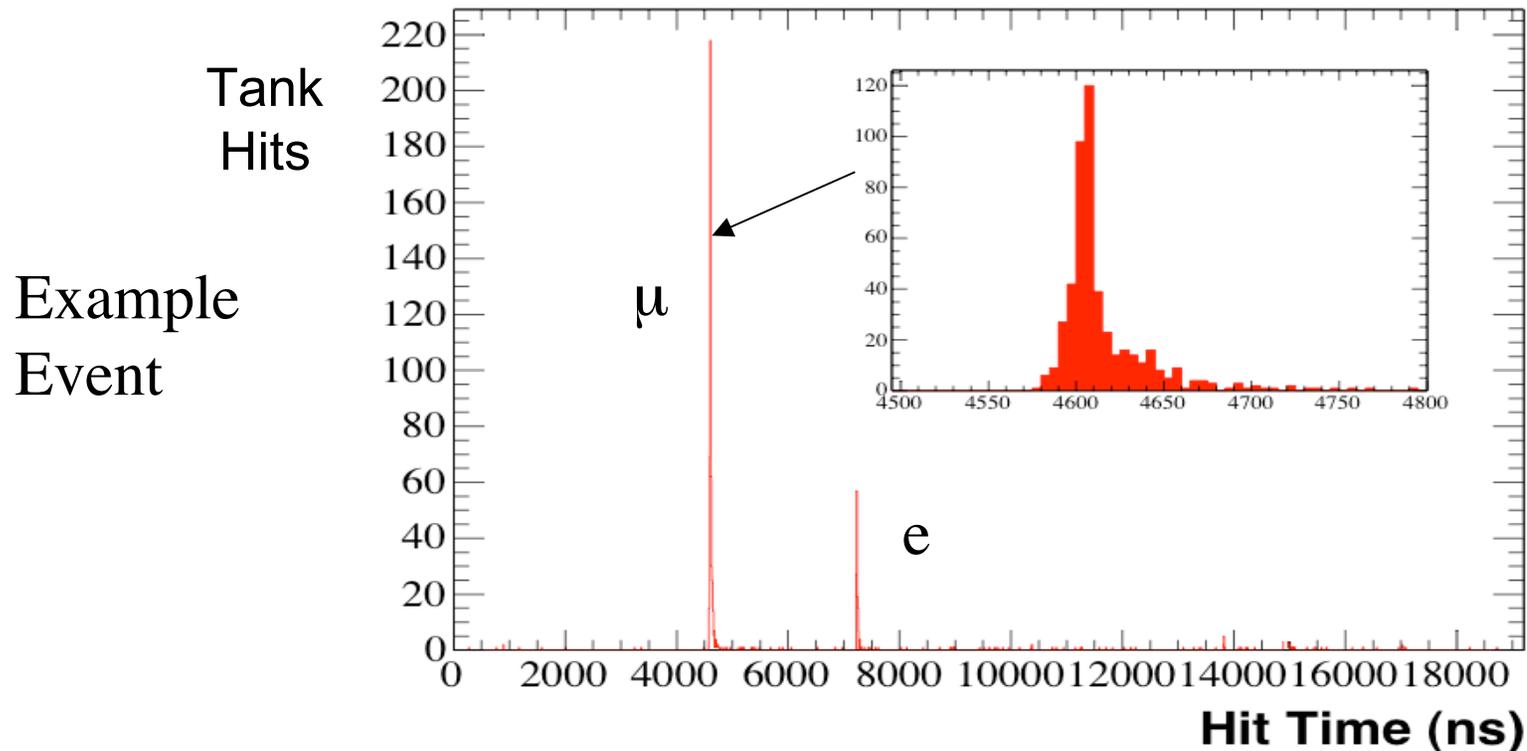
Timing Distribution for Laser Events



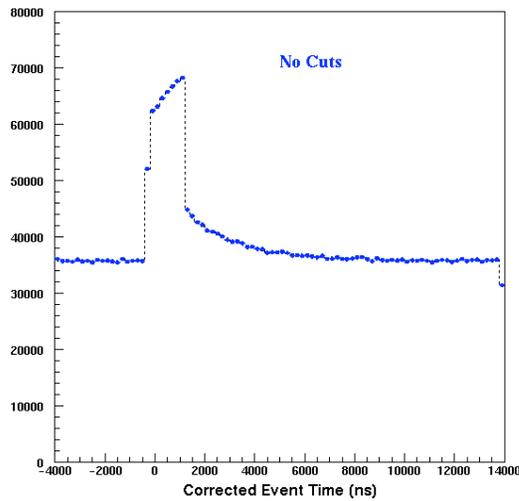
A 19.2 μs beam trigger window encompasses the 1.6 μs spill

Multiple hits within a ~ 100 ns window form “subevents”

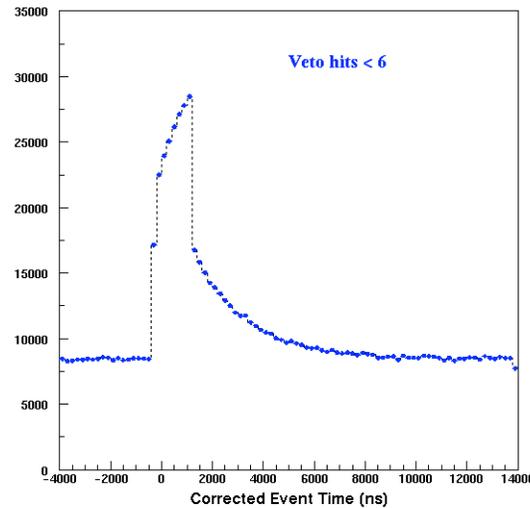
Most events are from ν_μ CC interactions ($\nu+n \rightarrow \mu+p$)
with characteristic two “subevent” structure from stopped $\mu \rightarrow \nu_\mu \nu_e e$



Progressively introducing cuts on the time window:

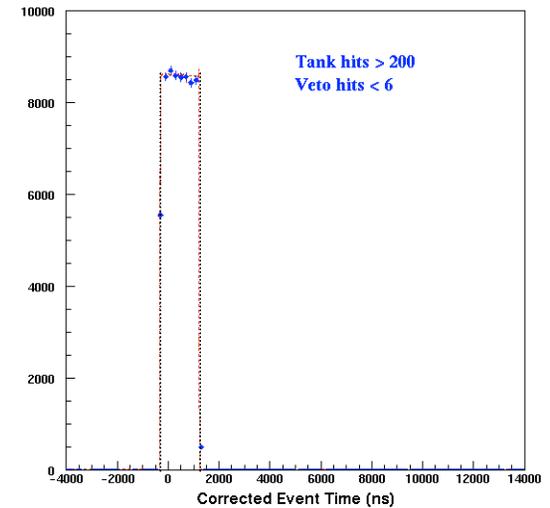


Raw data



Veto<6 removes
through-going cosmics

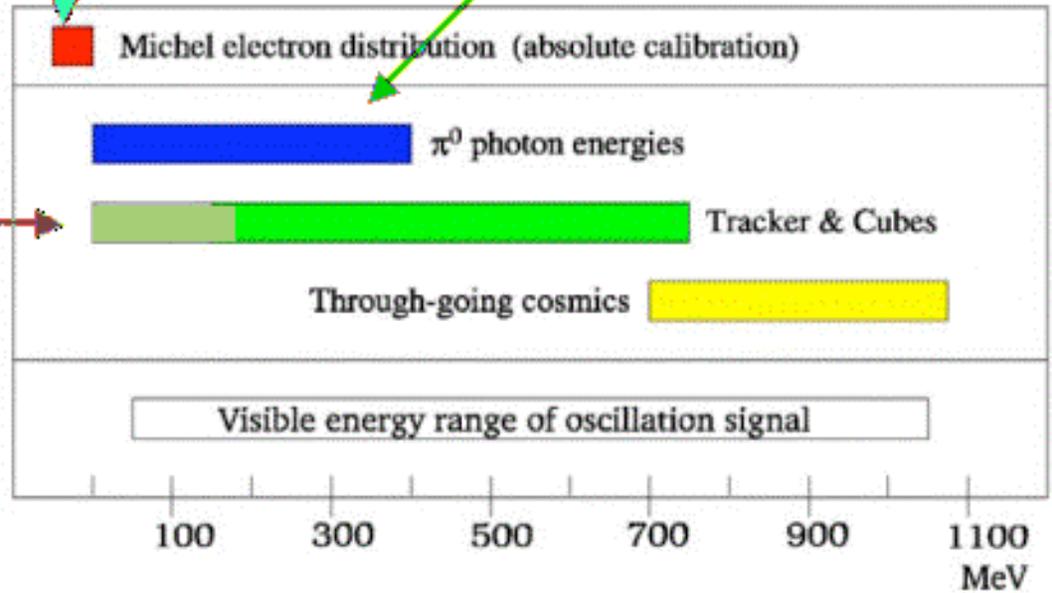
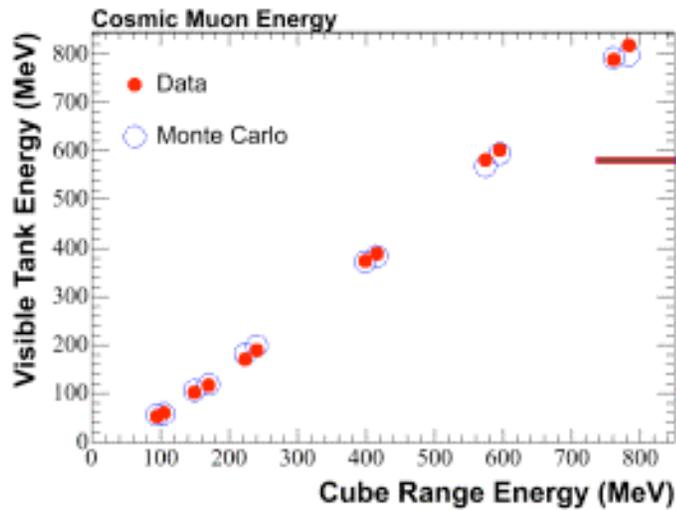
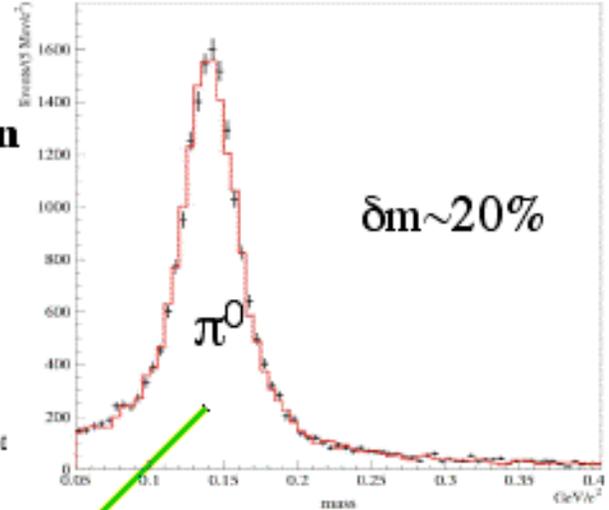
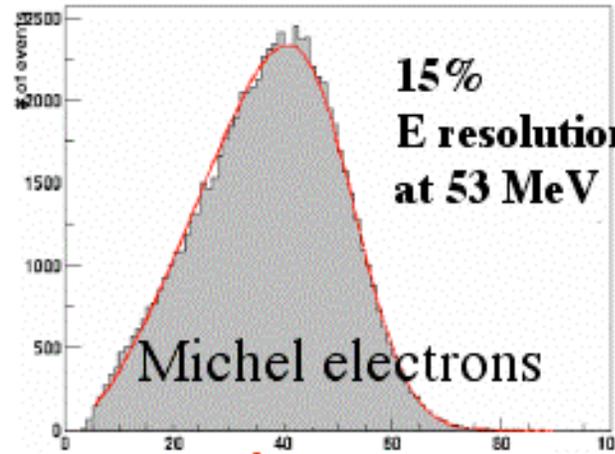
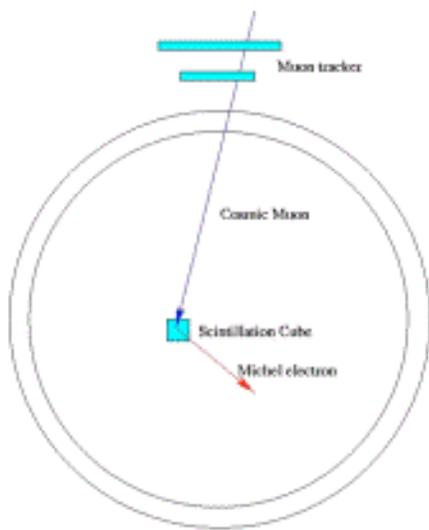
This leaves
“ Michel electrons”
($\mu \rightarrow \nu_{\mu} \nu_e e$) from cosmics



Tank Hits > 200
(equivalent to energy)
removes Michel electrons,
which have
52 MeV endpoint

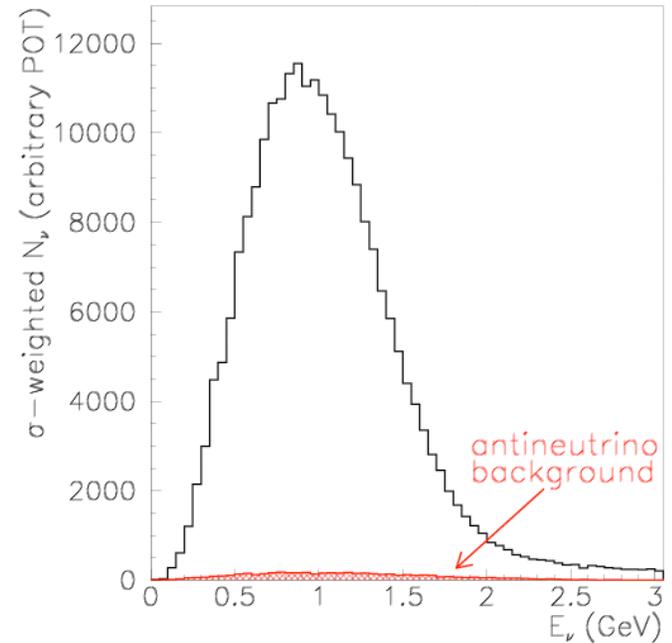
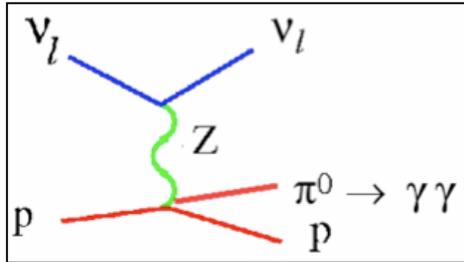
Calibration Sources

Tracker system

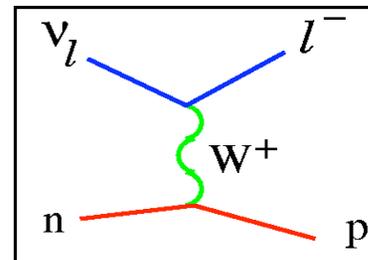
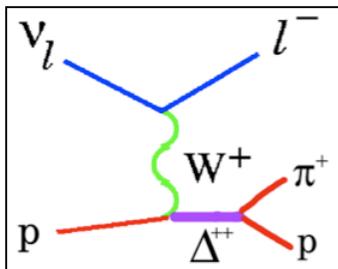
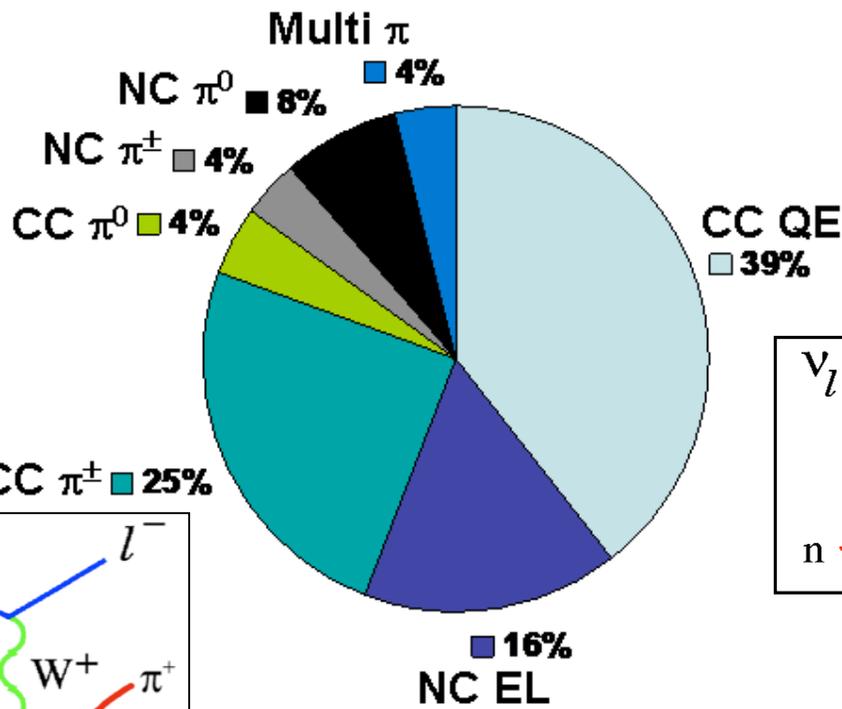


Predicted event rates before cuts (NUANCE Monte Carlo)

D. Casper, NPS, 112 (2002) 161



Event neutrino energy (GeV)



CCQE

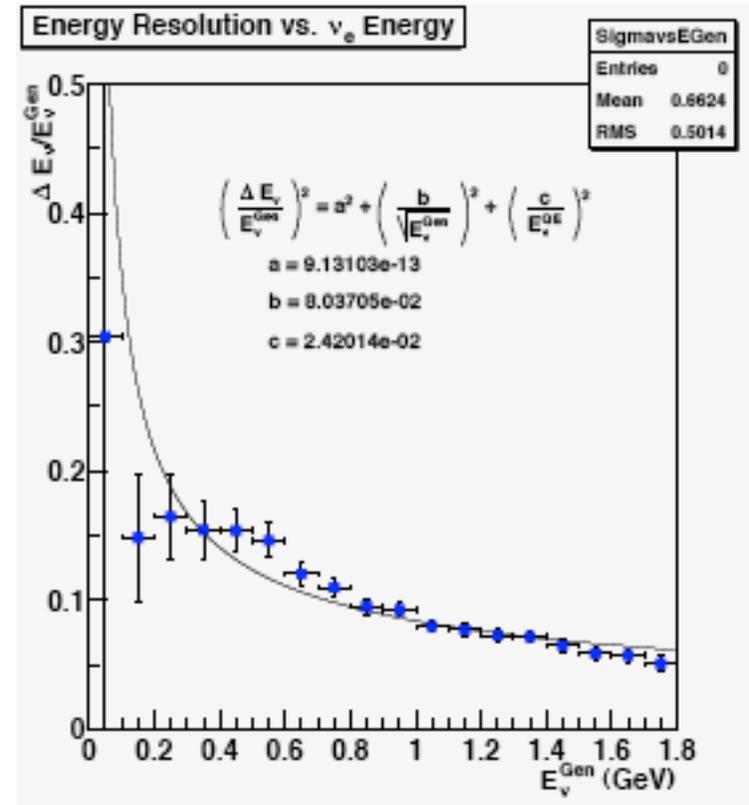
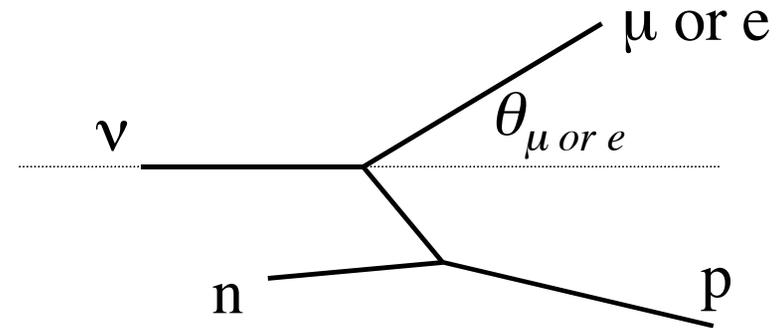
(Charged Current Quasi-Elastic)

39% of total

- Events are “clean” (few particles)
- Energy of the neutrino can be reconstructed

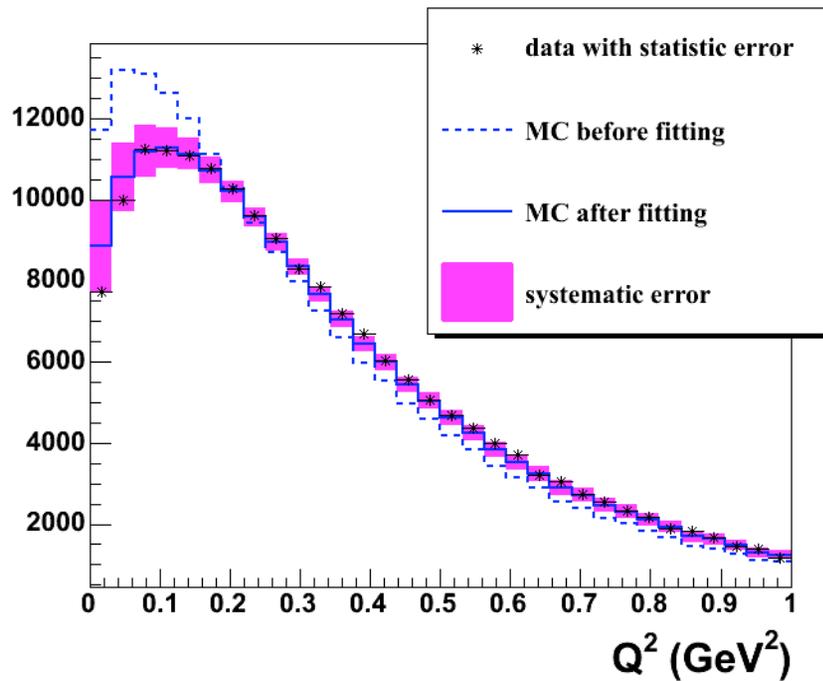
$$E_{\nu}^{QE} = \frac{1}{2} \frac{2M_p E_{\ell} - m_{\ell}^2}{M_p - E_{\ell} + \sqrt{(E_{\ell}^2 - m_{\ell}^2) \cos \theta_{\ell}}}$$

Reconstructed from:
 Scattering angle
 Visible energy (E_{visible})



An oscillation signal is an excess of ν_e events as a function of E_{ν}^{QE}

NUANCE Parameters:



Model describes CCQE ν_μ data well

From Q^2 fits to MB ν_μ CCQE data:

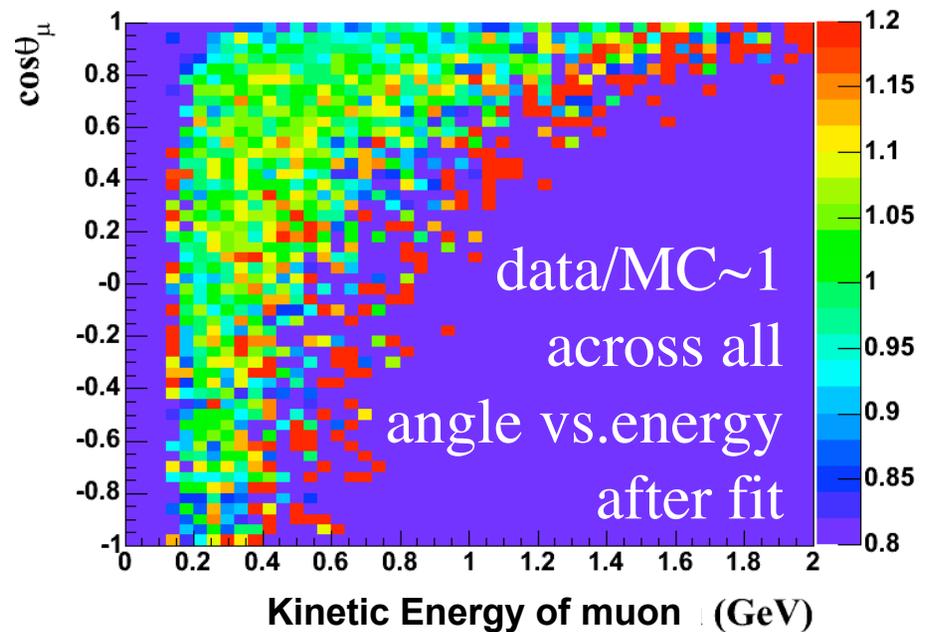
M_A^{eff} -- effective axial mass

E_{10}^{SF} -- Pauli Blocking parameter

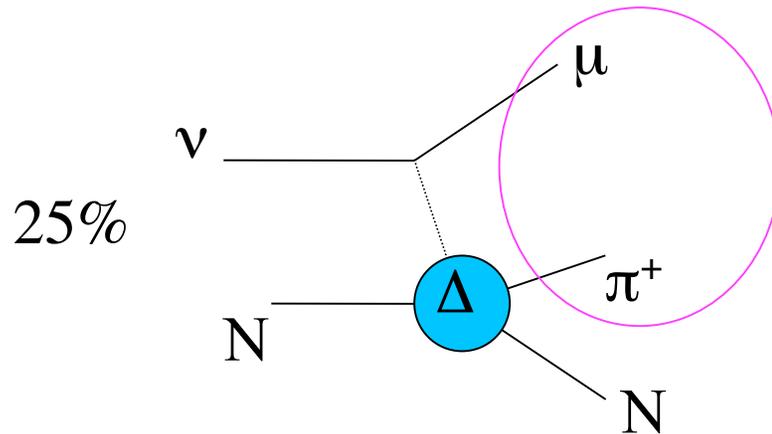
From electron scattering data:

E_b -- binding energy

p_f -- Fermi momentum

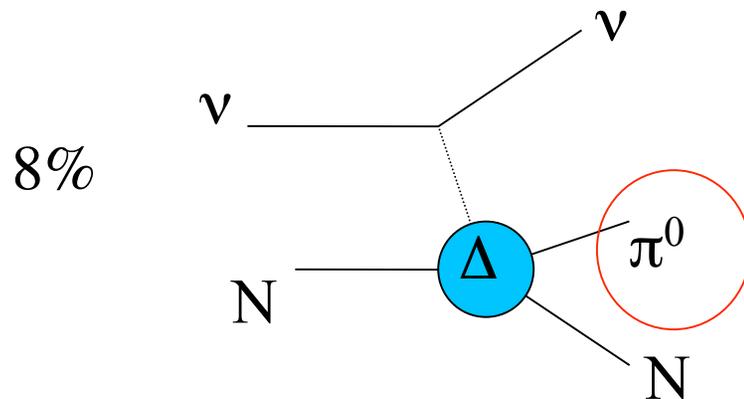


Events producing pions



$CC\pi^+$

Easy to tag due to 3 subevents.
Not a substantial background to
the oscillation analysis.



$NC\pi^0$

The π^0 decays to 2 photons,
which can look “electron-like”
mimicking the signal...

(also decays to a single photon
with 0.56% probability)

<1% of π^0 contribute
to background.

The types of particles these events produce:

Muons:

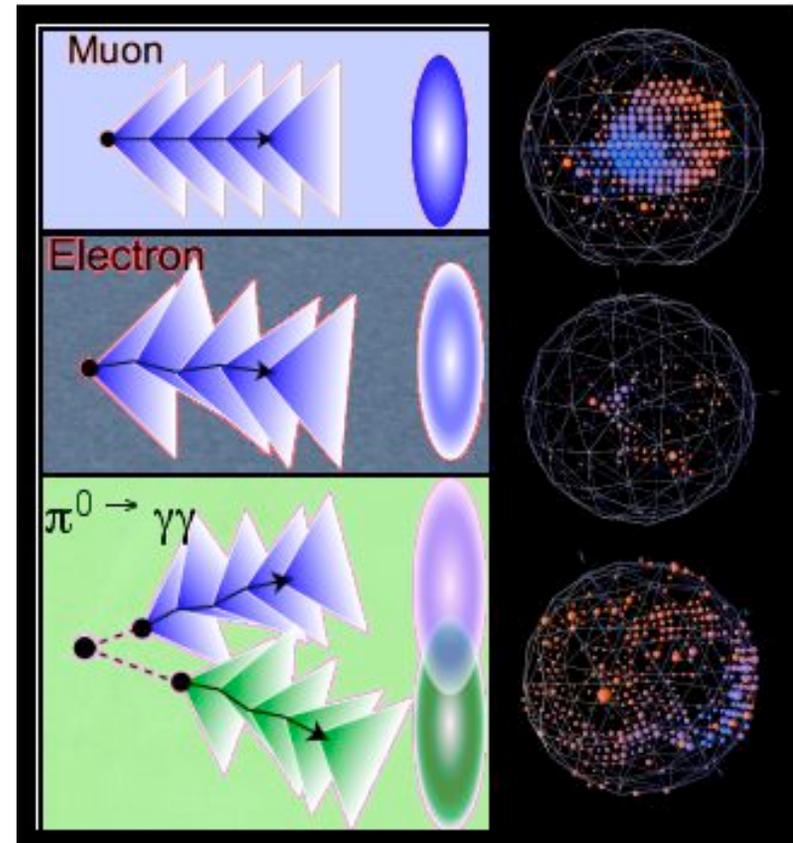
Produced in most CC events.
Usually 2 subevent or exiting.

Electrons:

Tag for $\nu_{\mu} \rightarrow \nu_e$ CCQE signal.
1 subevent

π^0 s:

Can form a background if one
photon is weak or exits tank.
In NC case, 1 subevent.



Two Independent Analyses

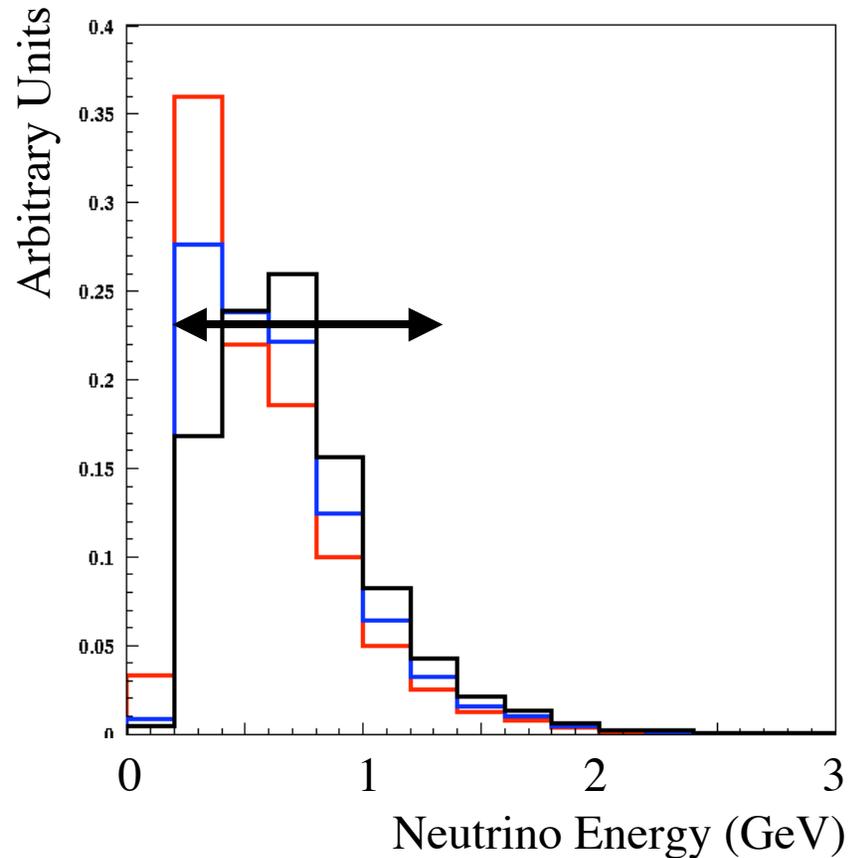
The goal of both analyses:

minimize background &
maximize signal efficiency.

“Signal range” is approximately
 $300 \text{ MeV} < E_{\nu}^{\text{QE}} < 1500 \text{ MeV}$

One can then either:

- look for a total excess
 (“counting expt”)
- fit for both an excess and
 energy dependence
 (“energy fit”)



MiniBooNE signal examples:

$$\Delta m^2 = 0.4 \text{ eV}^2$$

$$\Delta m^2 = 0.7 \text{ eV}^2$$

$$\Delta m^2 = 1.0 \text{ eV}^2$$

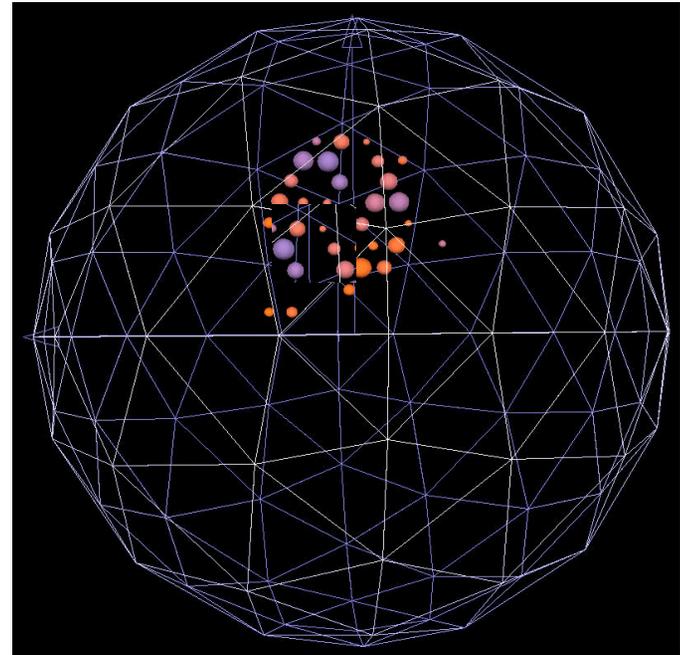
Open Data for Studies:

MiniBooNE is searching for a small but distinctive event signature

In order to maintain blindness,
Electron-like events were sequestered,
Leaving $\sim 99\%$ of the in-beam events available for study.

Rule for cuts to sequester events: $< 1\sigma$ signal outside of the box

Low level information which did not allow particle-id was available for all events.

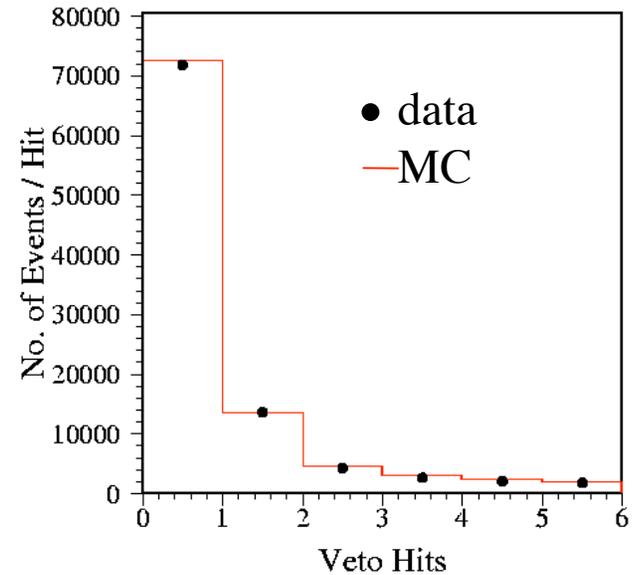


Both Algorithms and all analyses presented here share “hit-level pre-cuts”:

Only 1 subevent

Veto hits < 6

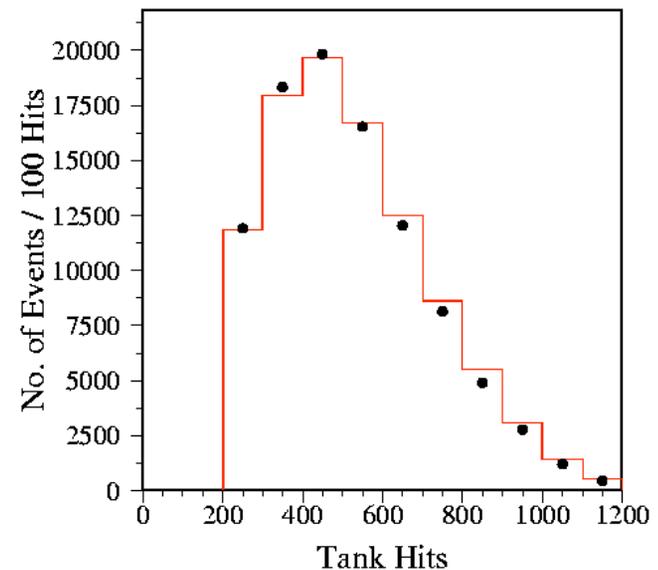
Tank hits > 200



And a radius pre-cut:

$R < 500$ cm

(where reconstructed R is algorithm-dependent)



Analysis 1: “Track-Based” (TB) Analysis

Philosophy:

Uses detailed, direct reconstruction of particle tracks,
and ratio of fit likelihoods to identify particles.

This algorithm was found to have the better
sensitivity to $\nu_{\mu} \rightarrow \nu_e$ appearance.

Therefore, before unblinding,
this was the algorithm chosen for the “primary result”

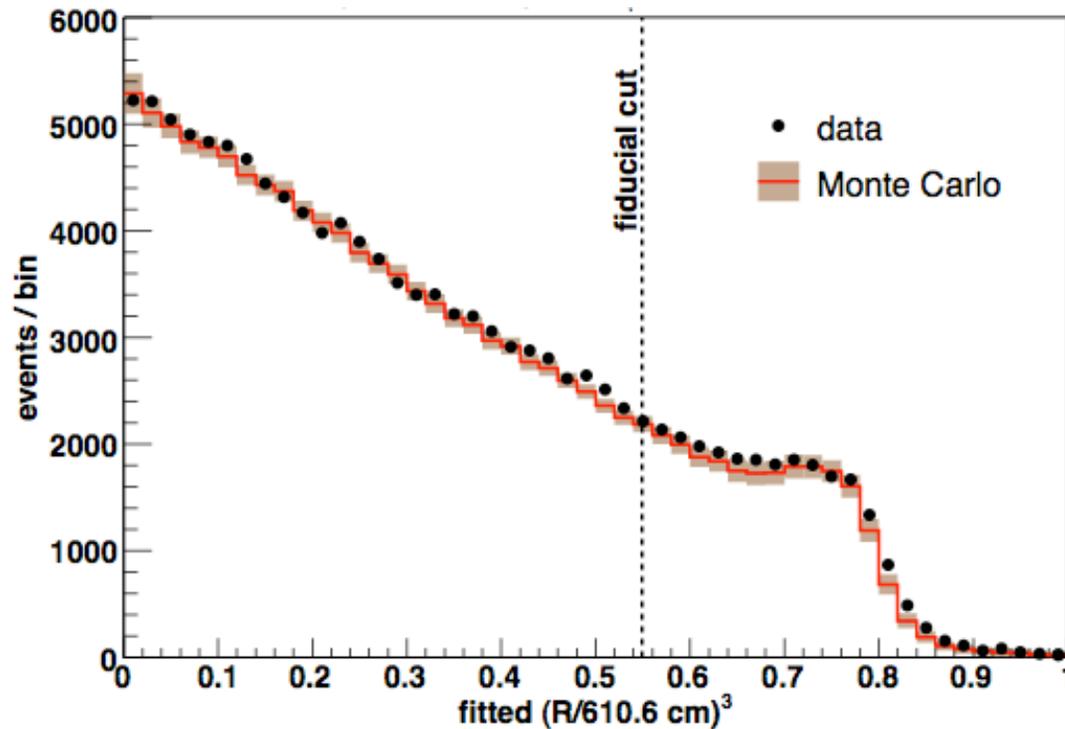
Each event is characterized by 7 reconstructed variables:

vertex (x,y,z) , time, energy, and direction $(\theta,\phi)\Leftrightarrow(U_x, U_y, U_z)$.

Resolutions: vertex: 22 cm

direction: 2.8°

energy: 11%



ν_μ CCQE events

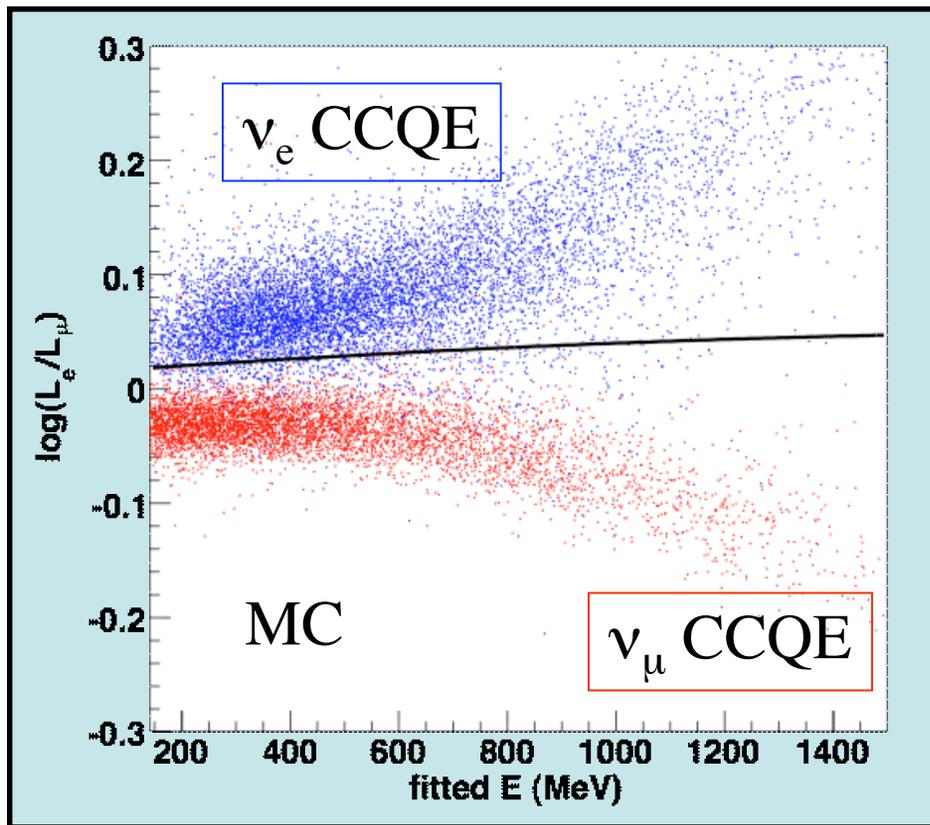
2 subevents

Veto Hits < 6

Tank Hits > 200

Rejecting “muon-like” events Using $\log(L_e/L_\mu)$

$\log(L_e/L_\mu) > 0$ favors electron-like hypothesis



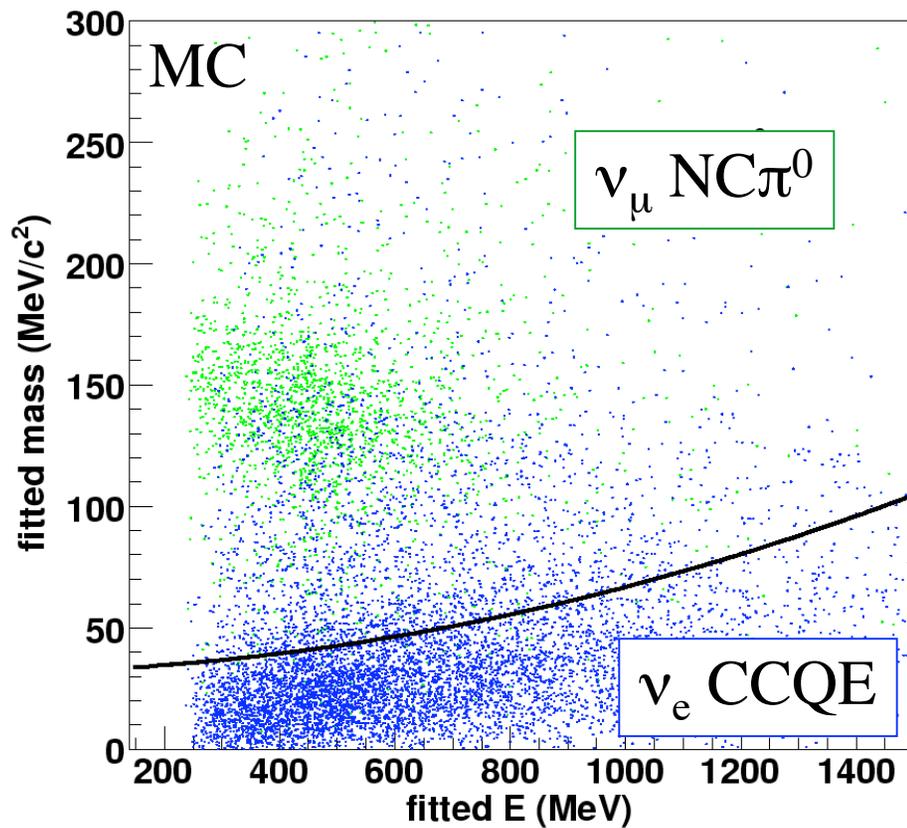
Note: photon conversions are electron-like.
This does not separate e/π^0 .

Separation is clean at high energies where muon-like events are long.

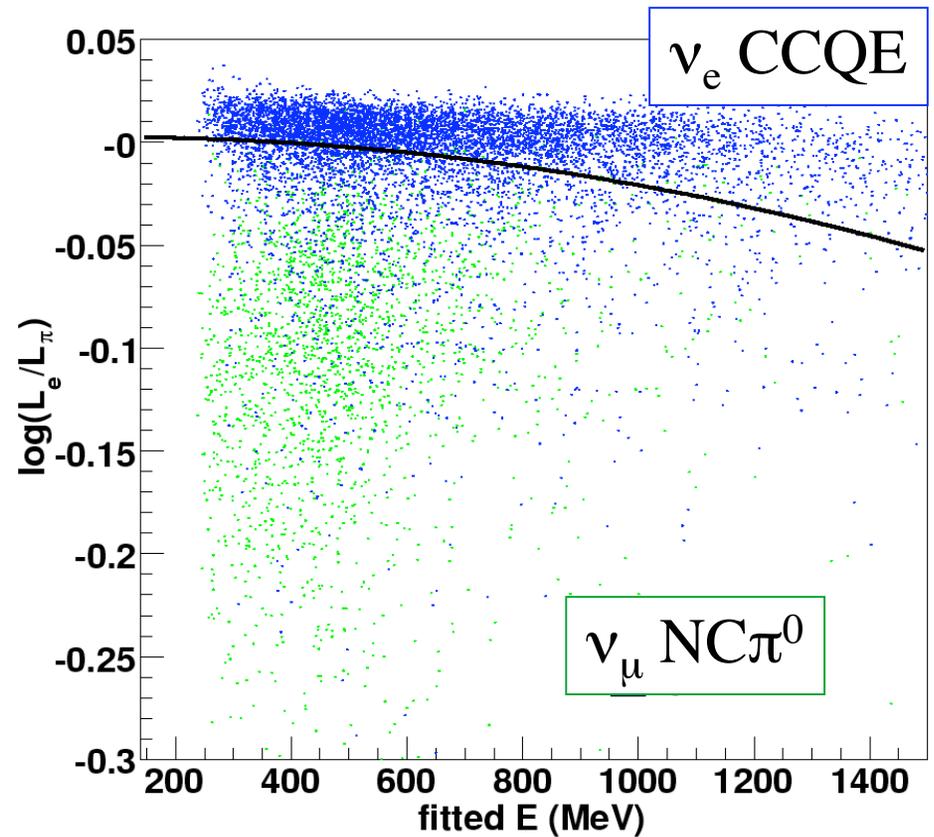
Analysis cut was chosen to maximize the $\nu_\mu \rightarrow \nu_e$ sensitivity

Rejecting “ π^0 -like” events

Using a mass cut



Using $\log(L_e/L_\pi)$



Cuts were chosen to maximize $\nu_\mu \rightarrow \nu_e$ sensitivity

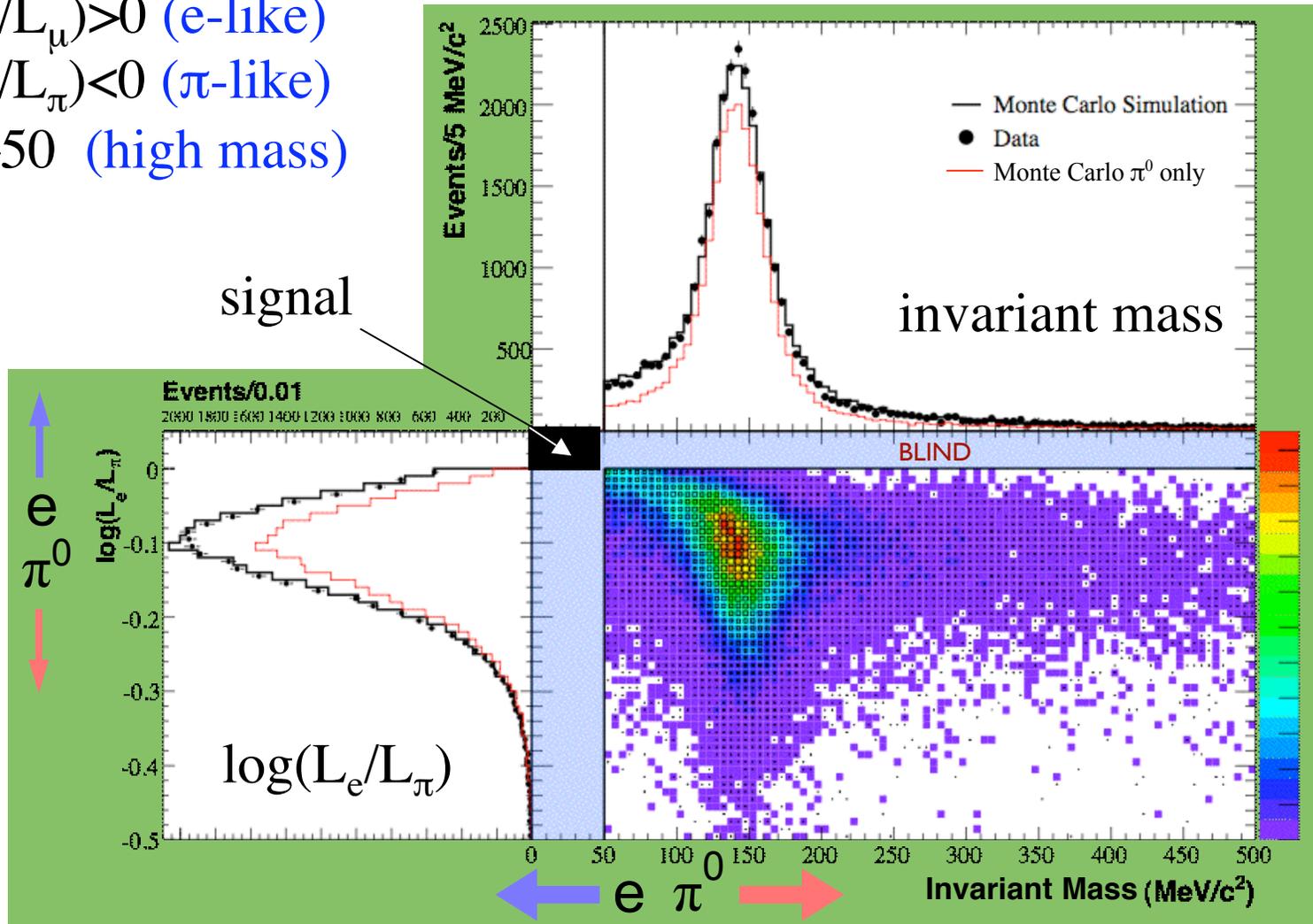
Testing $e\text{-}\pi^0$ separation using data

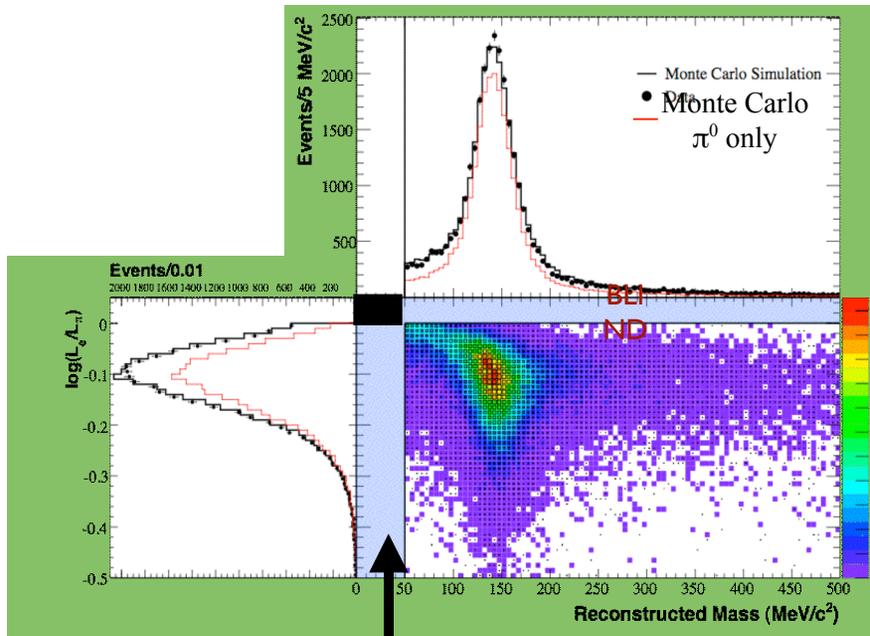
1 subevent

$\log(L_e/L_\mu) > 0$ (e-like)

$\log(L_e/L_\pi) < 0$ (π -like)

mass > 50 (high mass)

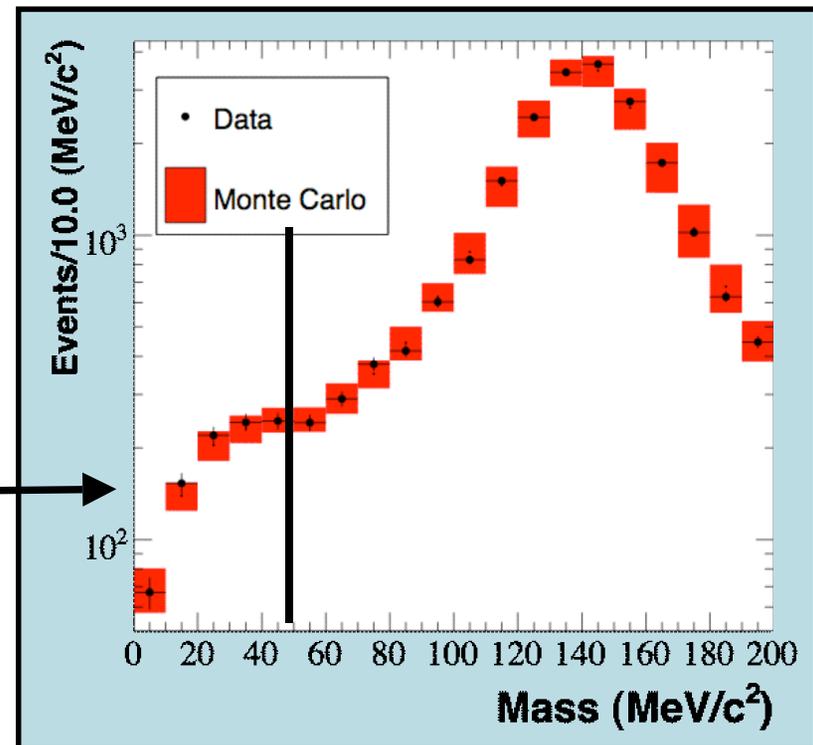




1 subevent
 $\log(L_e/L_\mu) > 0$ (e-like)
 $\log(L_e/L_\pi) < 0$ (π -like)
 $\text{mass} < 200$ (low mass)

Next: look
 here....

χ^2 Prob for $\text{mass} < 50 \text{ MeV}$
 ("most signal-like"): 69%

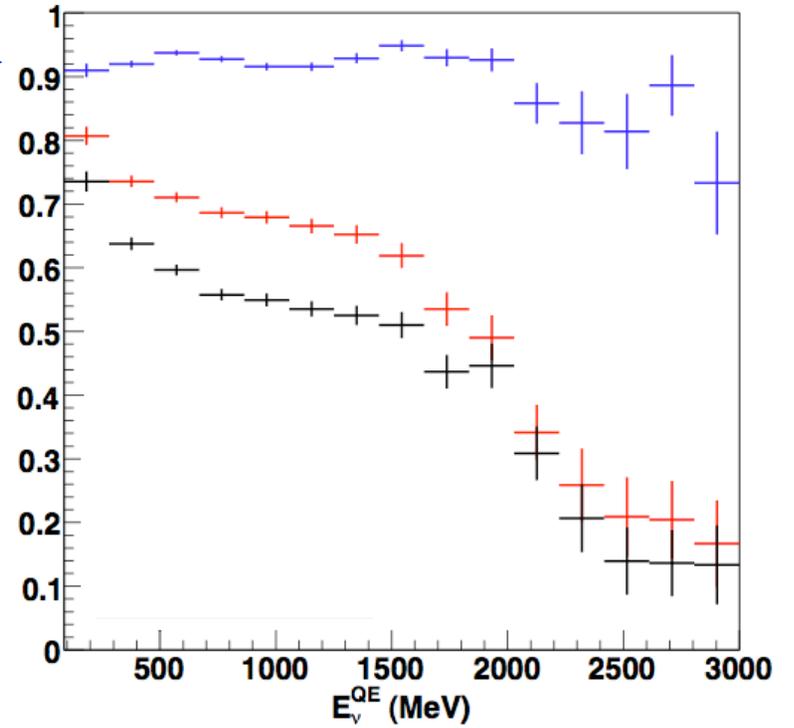


Summary of Track Based cuts

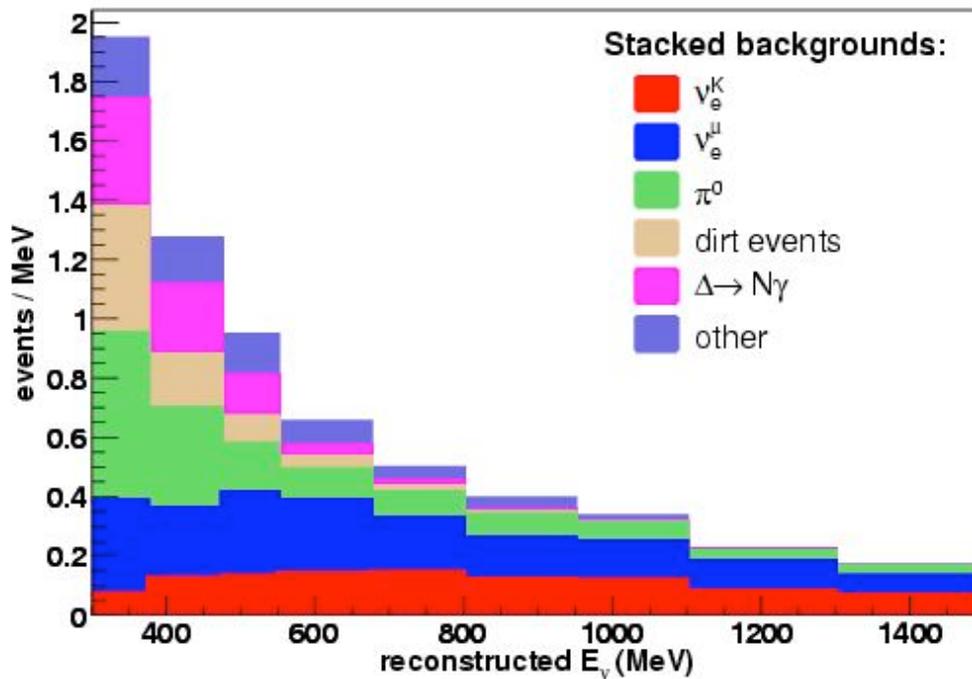
“Precuts” +

- $\text{Log}(L_e/L_\mu)$ →
- + $\text{Log}(L_e/L_\pi)$ →
- + invariant mass →

Efficiency:



Backgrounds after cuts



Analysis 2: Boosted Decision Trees (BDT)

Philosophy:

Construct a set of low-level analysis variables which are used to make a series of cuts to classify the events.

This algorithm represents an independent cross check of the Track Based Analysis

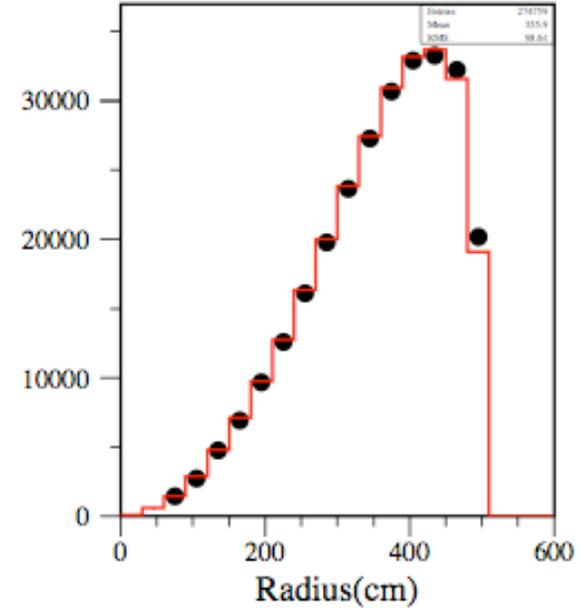
Step 1:
Convert the “Fundamental information”
into “Analysis Variables”

| <i>Analysis variables</i> | <i>Fundamental information from PMTs</i> | | |
|---------------------------|--|--------|------------|
| | Hit Position | Charge | Hit Timing |
| Energy | ✓ | ✓ | |
| Time sequence | | ✓ | ✓ |
| Event shape | ✓ | ✓ | ✓ |
| Physics | ✓ | ✓ | ✓ |

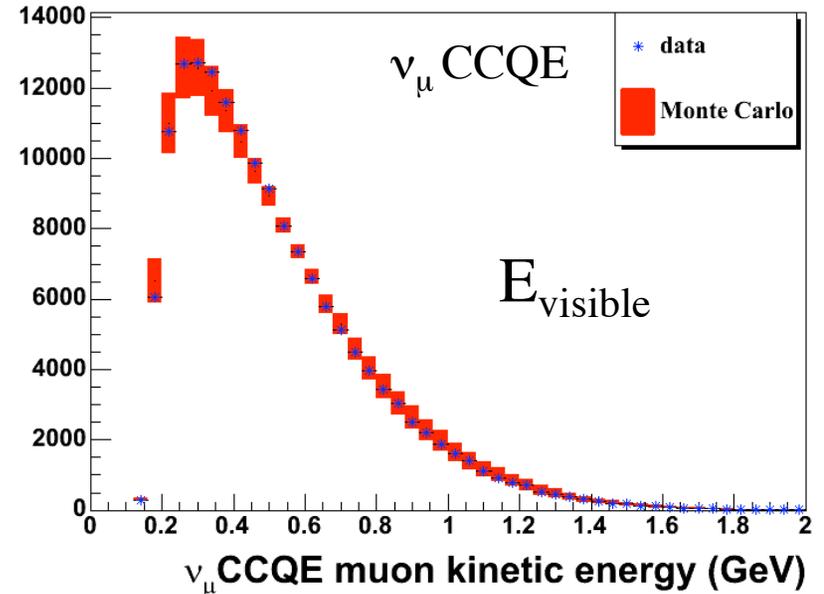
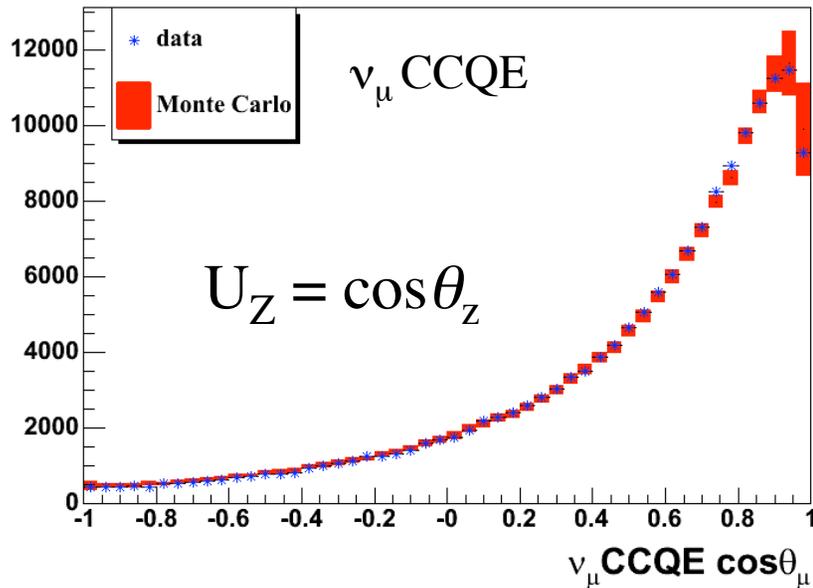
“Physics” = π^0 mass, E_ν^{QE} , etc.

Examples of “Analysis Variables”

Resolutions:
vertex: 24 cm
direction: 3.8°
energy 14%



Reconstructed quantities which are inputs to E_ν^{QE}

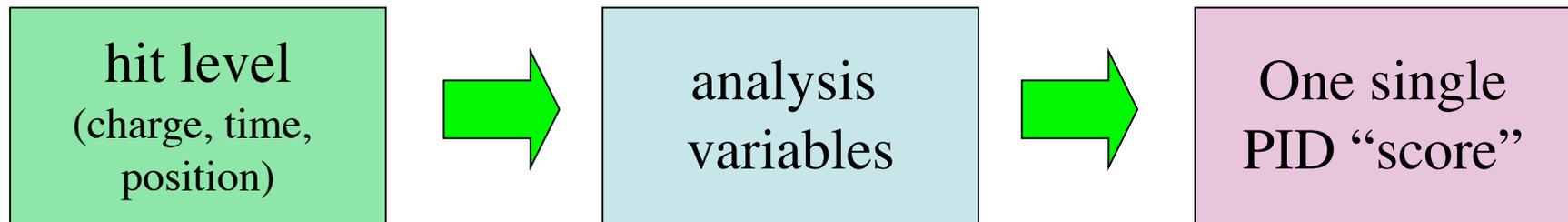


Step 2: Reduce Analysis Variables to a Single PID Variable

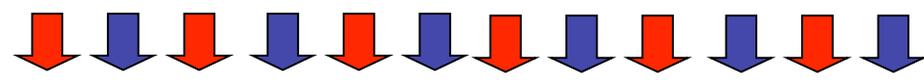
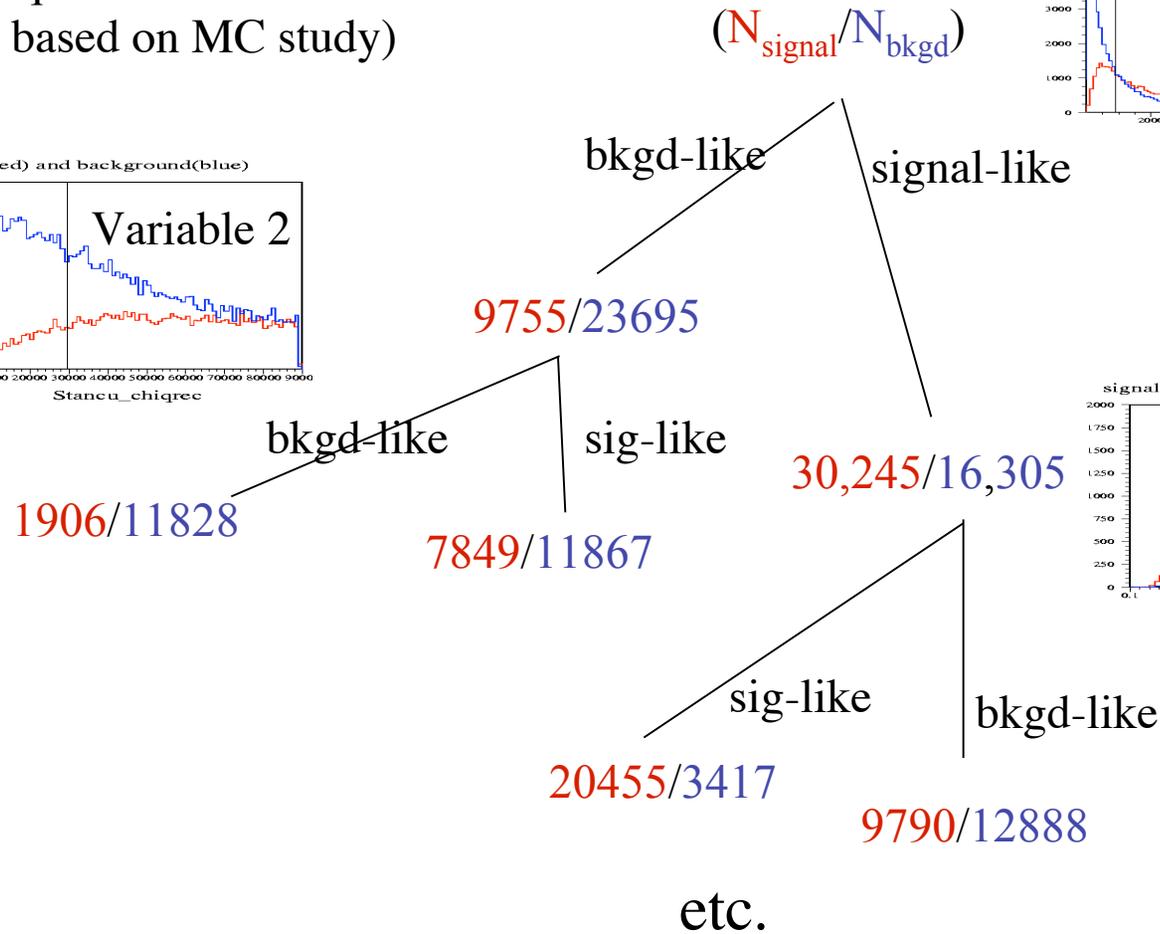
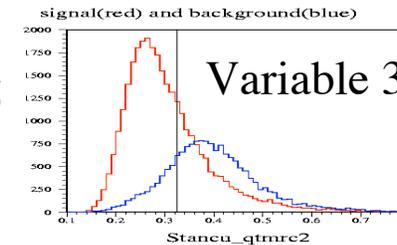
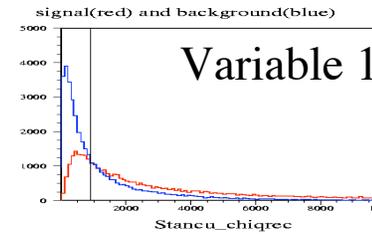
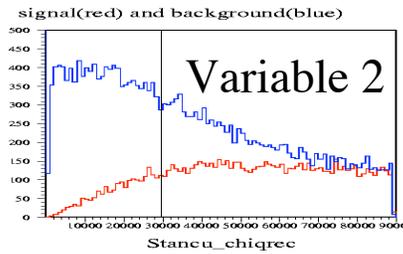
Boosted Decision Trees

**“A procedure that combines many weak classifiers
to form a powerful committee”**

Byron P. Roe, *et al.*,
NIM A543 (2005) 577.



A Decision Tree (sequential series of cuts based on MC study)

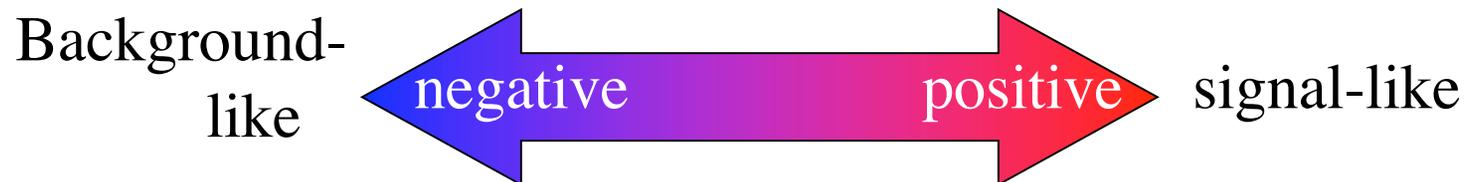


This tree is one of many possibilities...

A set of decision trees can be developed,
each re-weighting the events to enhance
identification of backgrounds misidentified
by earlier trees (“boosting”)

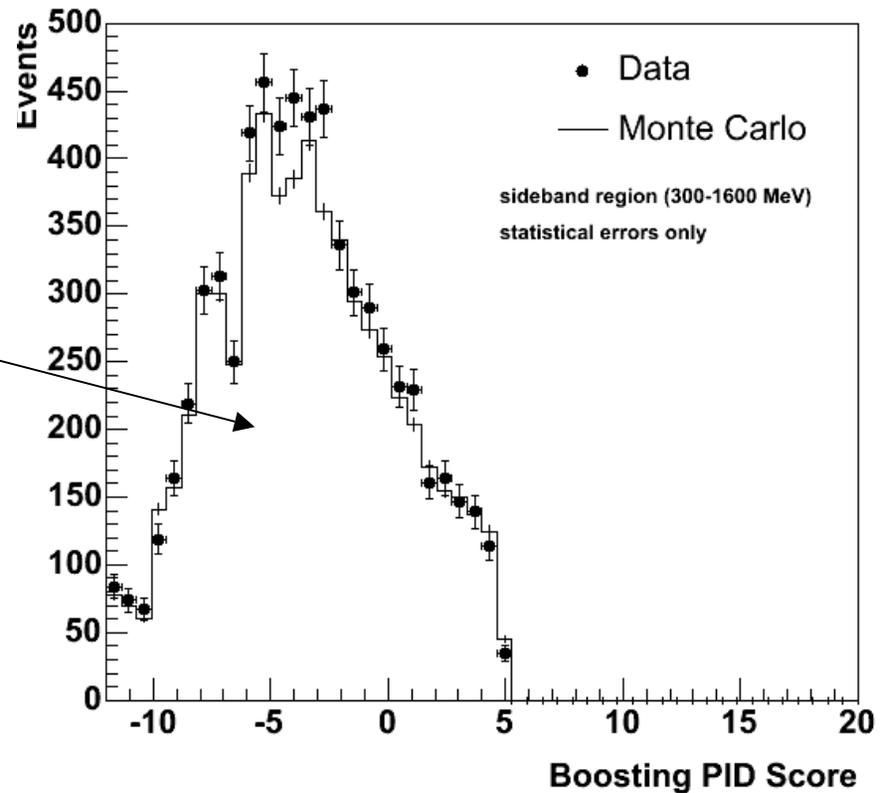
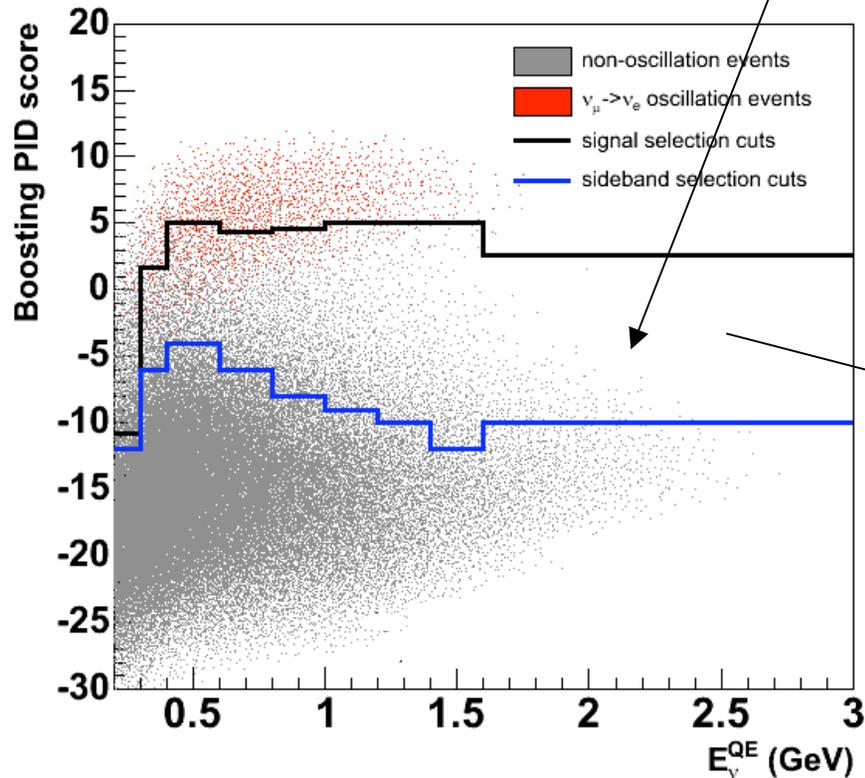
For each tree, the data event is assigned
+1 if it is identified as **signal**,
-1 if it is identified as **background**.

The total for all trees is combined into a “score”



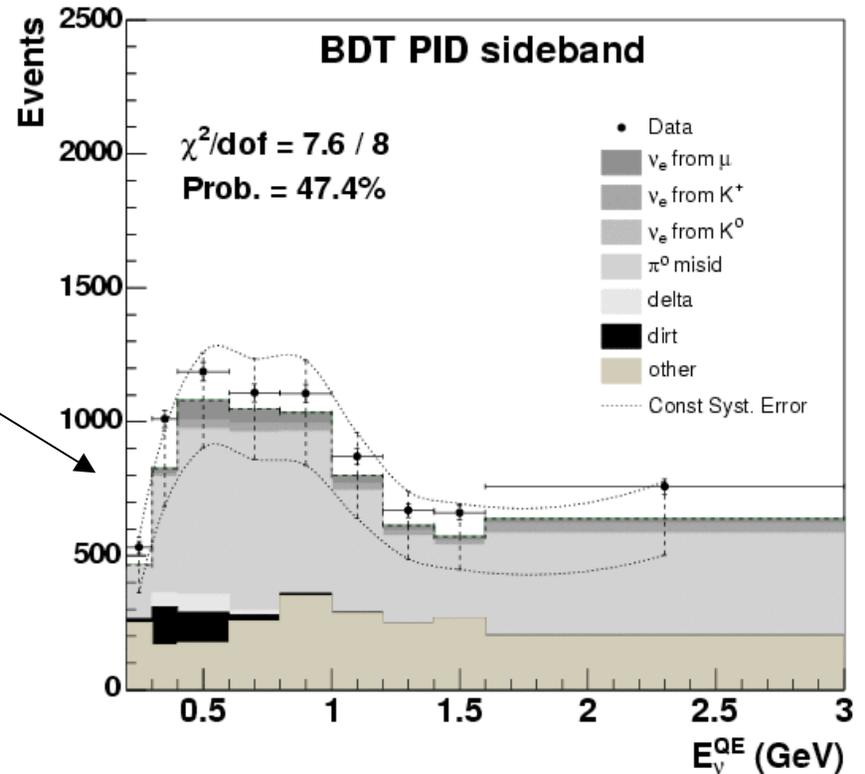
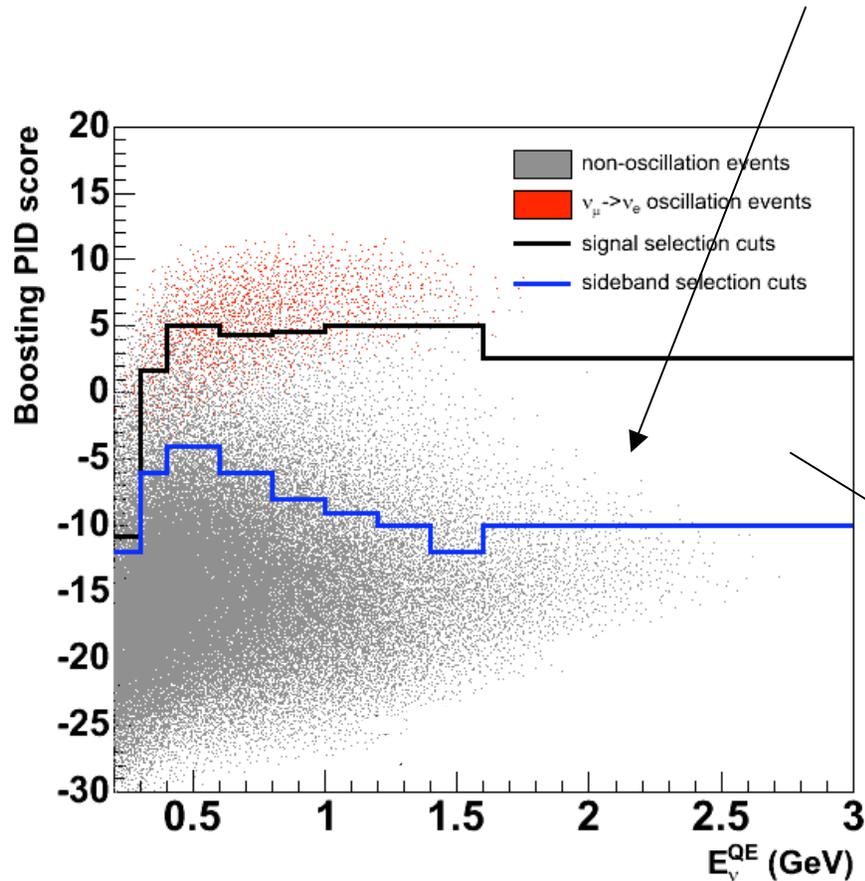
BDT cuts on PID score as a function of energy.

We can define a “sideband” just outside of the **signal region**



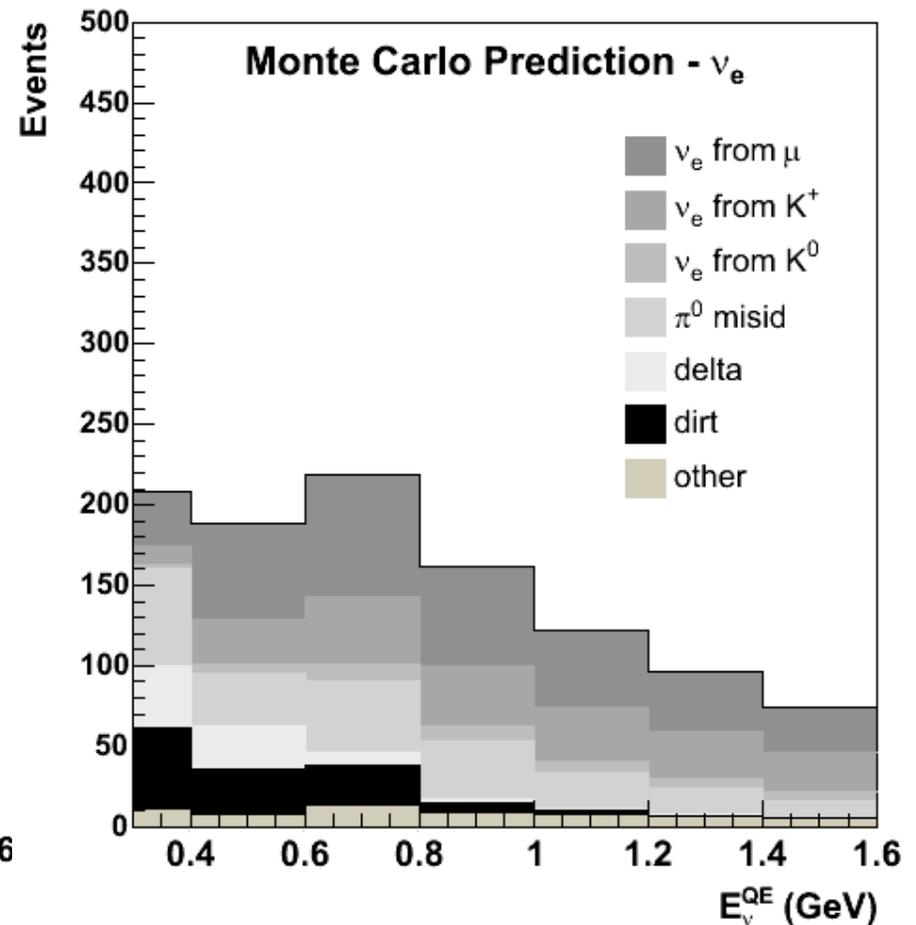
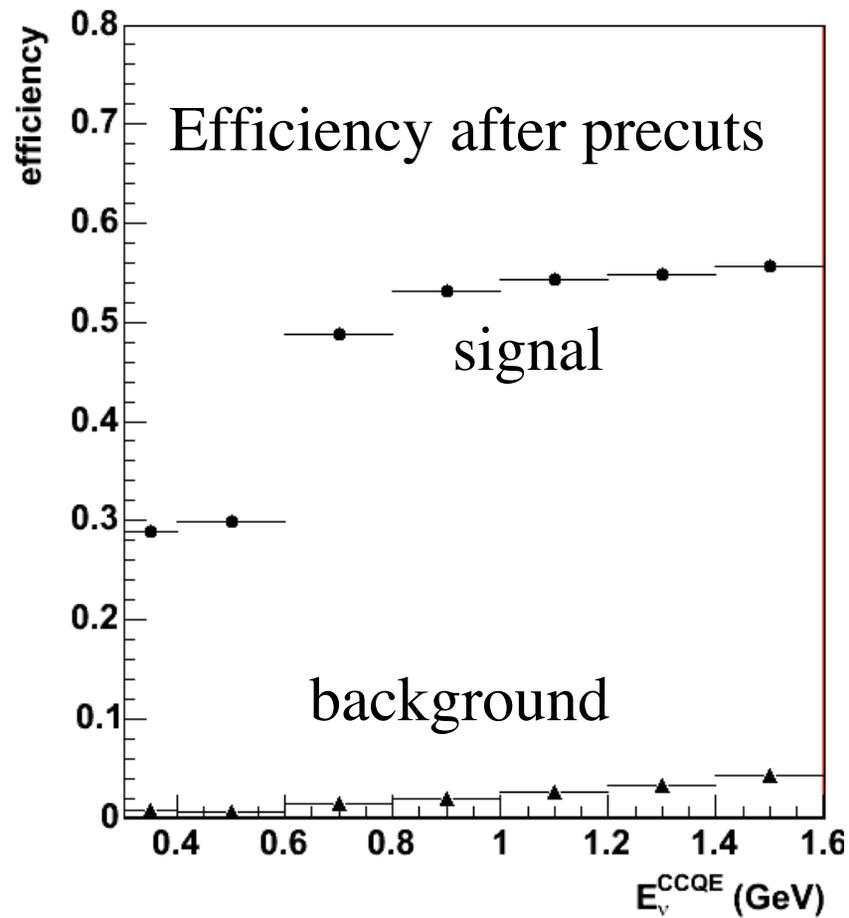
BDT cuts on PID score as a function of energy.

We can define a “sideband” just outside of the **signal region**



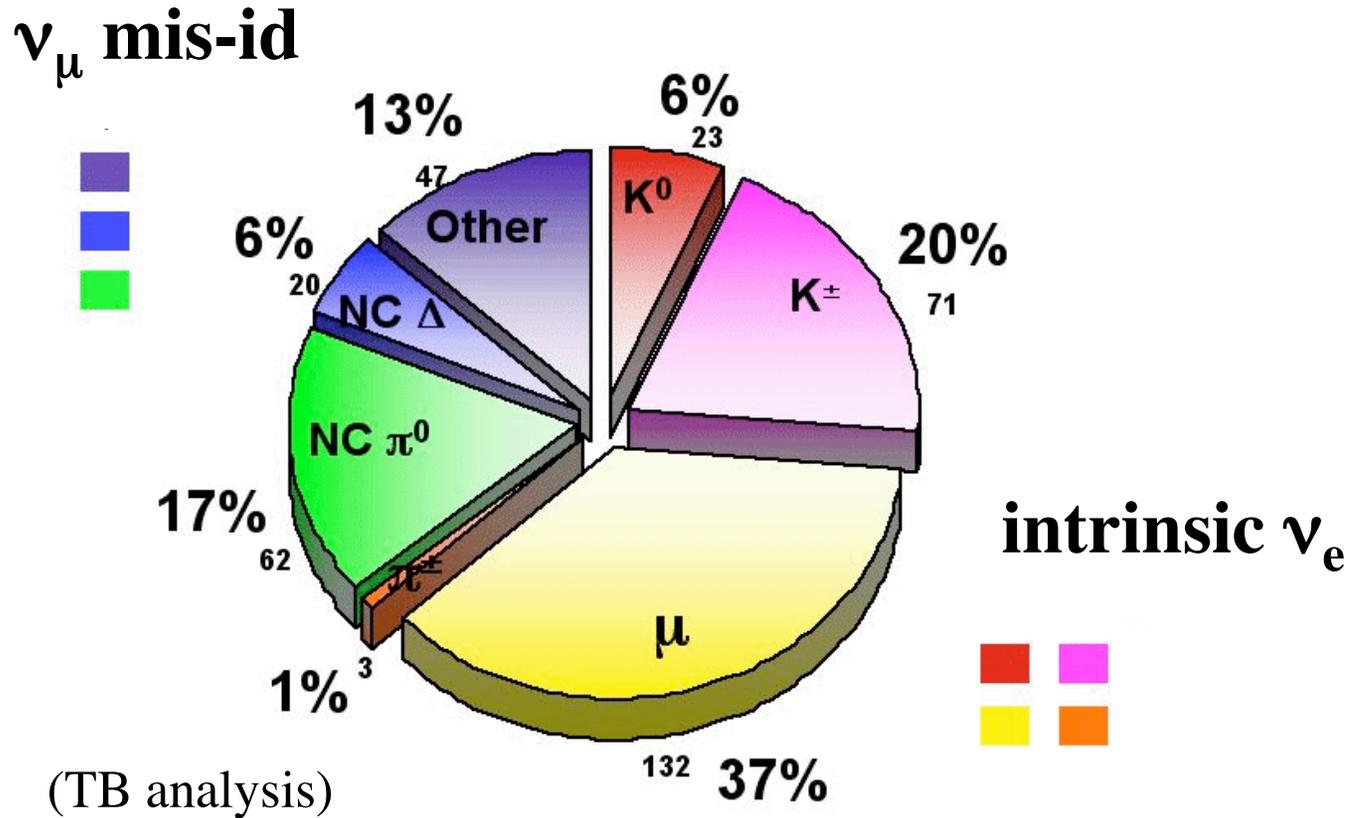
BDT Efficiency and backgrounds after cuts:

Analysis cuts on PID score as a function of Energy



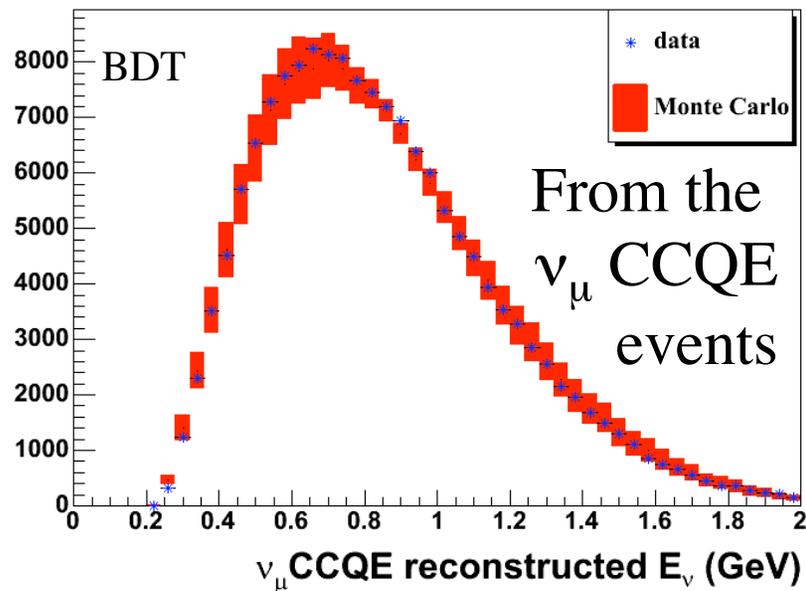
Errors, Constraints and Sensitivity

We have two categories of backgrounds:



Predictions of the backgrounds are among the nine sources of significant error in the analysis

| Source of Uncertainty On ν_e background | Track Based /Boosted Decision Tree error in % | Checked or Constrained by MB data | Further reduced by tying ν_e to ν_μ |
|---|--|---|--|
| Flux from π^+/μ^+ decay | 6.2 / 4.3 | ✓ | ✓ |
| Flux from K^+ decay | 3.3 / 1.0 | ✓ | ✓ |
| Flux from K^0 decay | 1.5 / 0.4 | ✓ | ✓ |
| Target and beam models | 2.8 / 1.3 | ✓ | |
| ν -cross section | 12.3 / 10.5 | ✓ | ✓ |
| NC π^0 yield | 1.8 / 1.5 | ✓ | |
| External interactions (“Dirt”) | 0.8 / 3.4 | ✓ | |
| Optical model | 6.1 / 10.5 | ✓ | ✓ |
| DAQ electronics model | 7.5 / 10.8 | ✓ | |

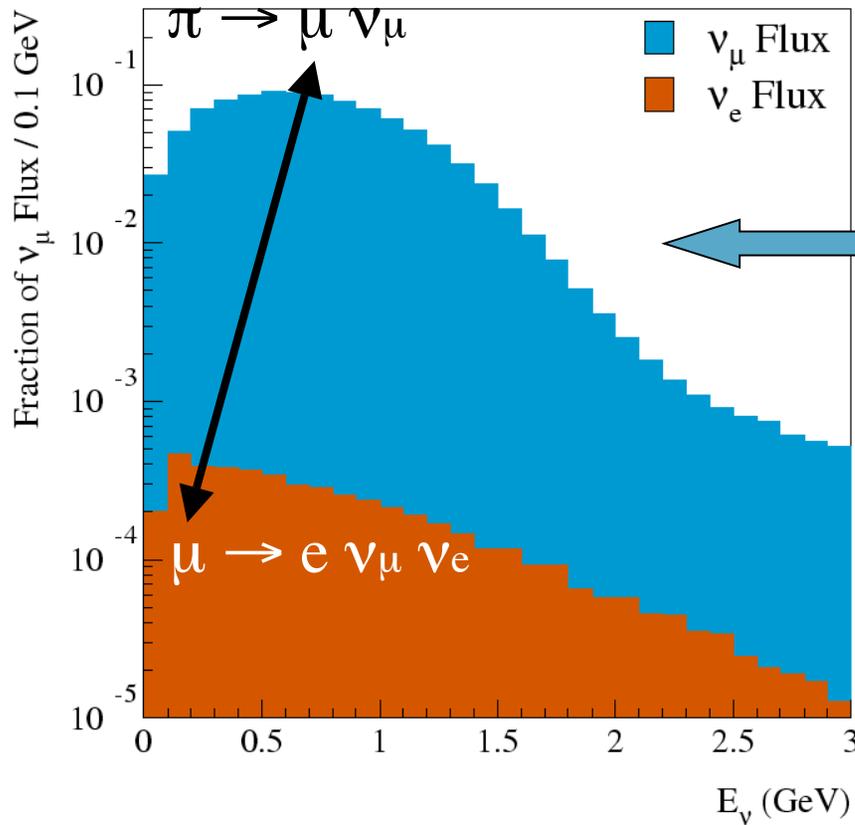


Normalization
& energy dependence
of both background
and signal

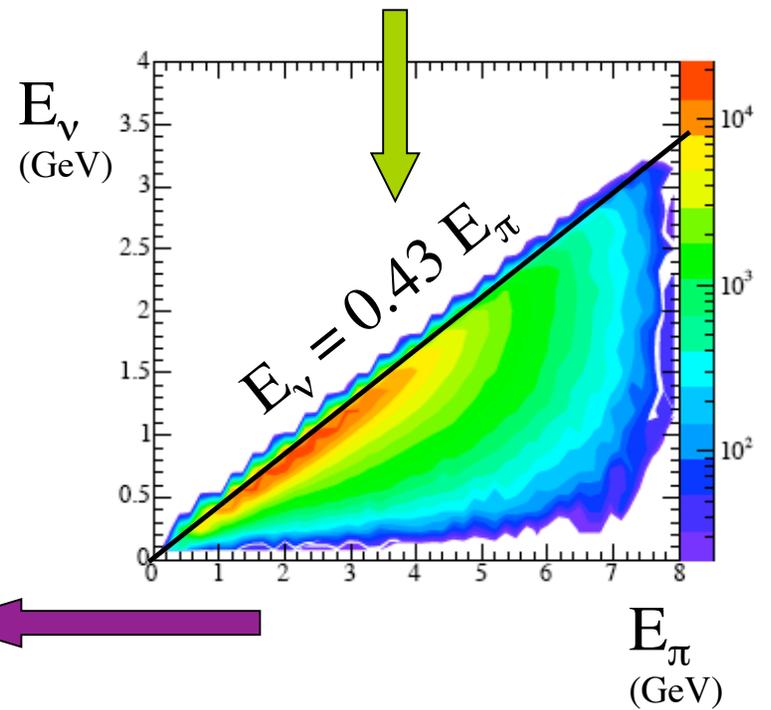
Data/MC Boosted Decision Tree: 1.22 ± 0.29
Track Based: 1.32 ± 0.26

Tying the ν_e background and signal prediction
to the ν_μ flux constrains this analysis to a strict
 $\nu_\mu \rightarrow \nu_e$ appearance-only search

ν_μ constraint on intrinsic ν_e from π^+ decay chains

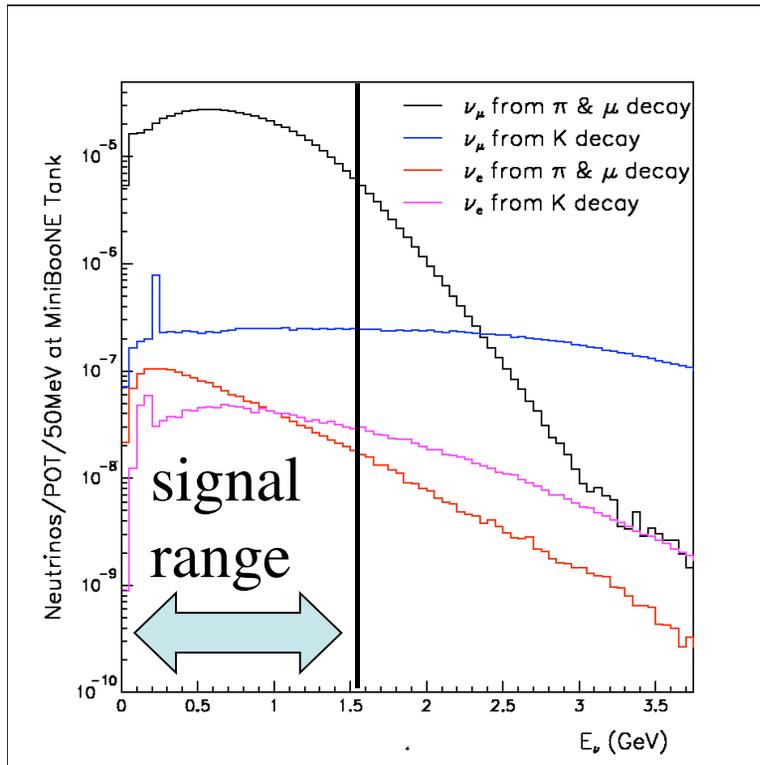


- Measure the ν_μ flux
- Kinematics allows connection to the π flux

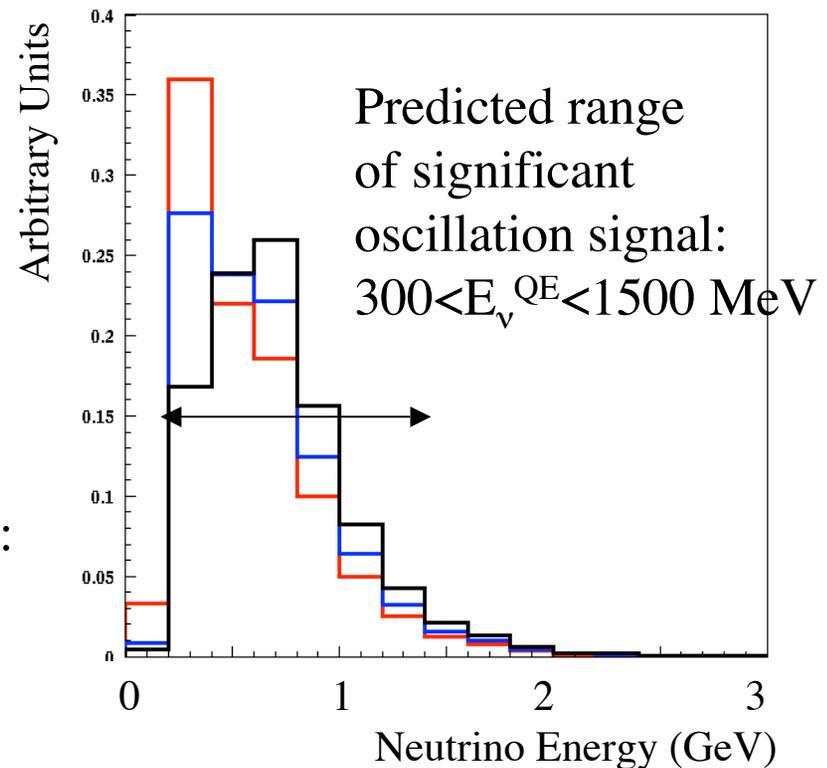


- Once the π flux is known, the μ flux is determined

K⁺ and K⁰ decay backgrounds



At high energies,
above “signal range”
 ν_μ and “ ν_e -like” events are
largely due to kaon decay



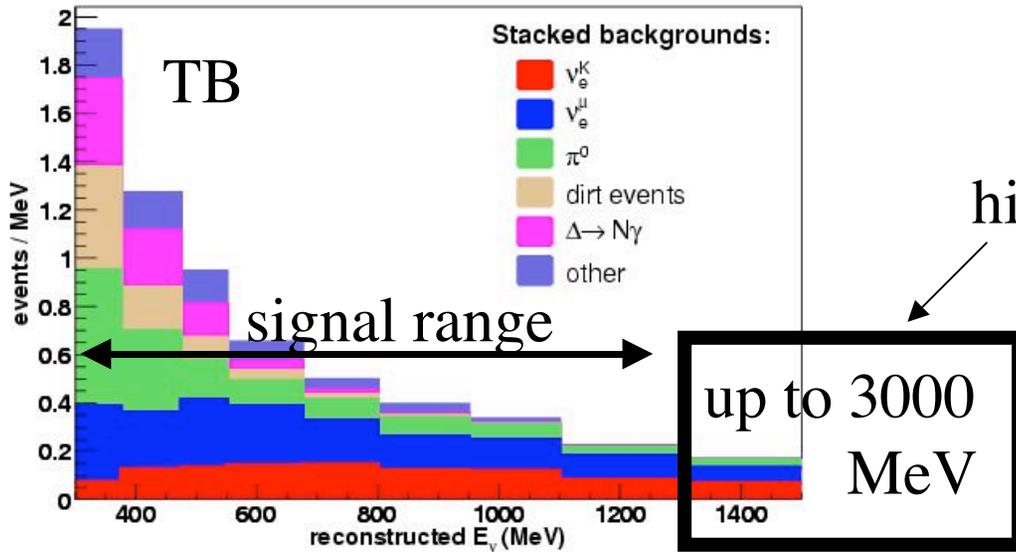
Signal examples:

$$\Delta m^2 = 0.4 \text{ eV}^2$$

$$\Delta m^2 = 0.7 \text{ eV}^2$$

$$\Delta m^2 = 1.0 \text{ eV}^2$$

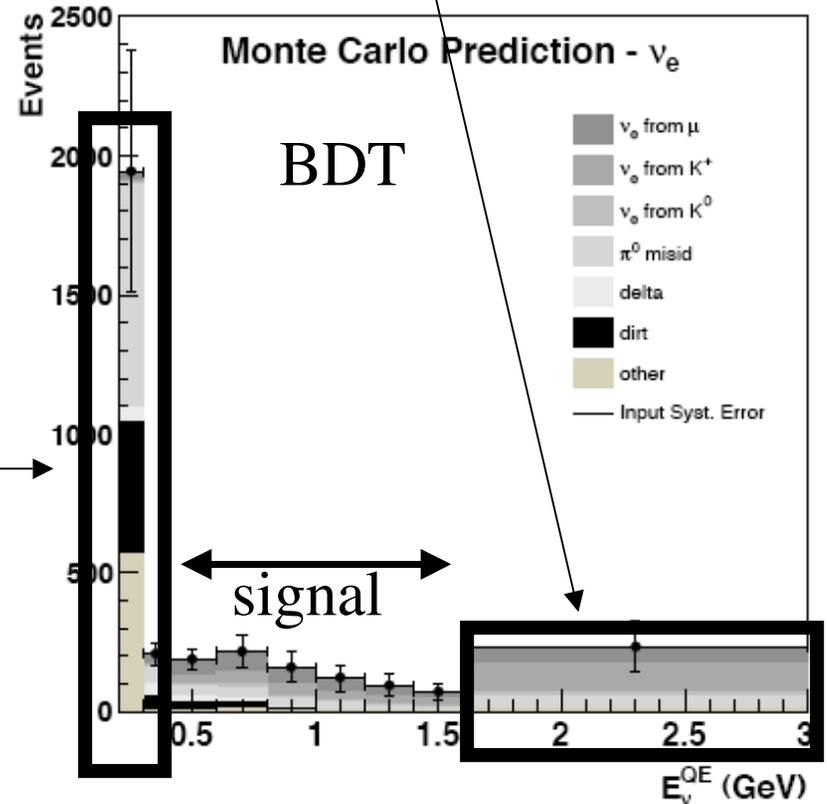
Use of low-signal/high-background energy bins



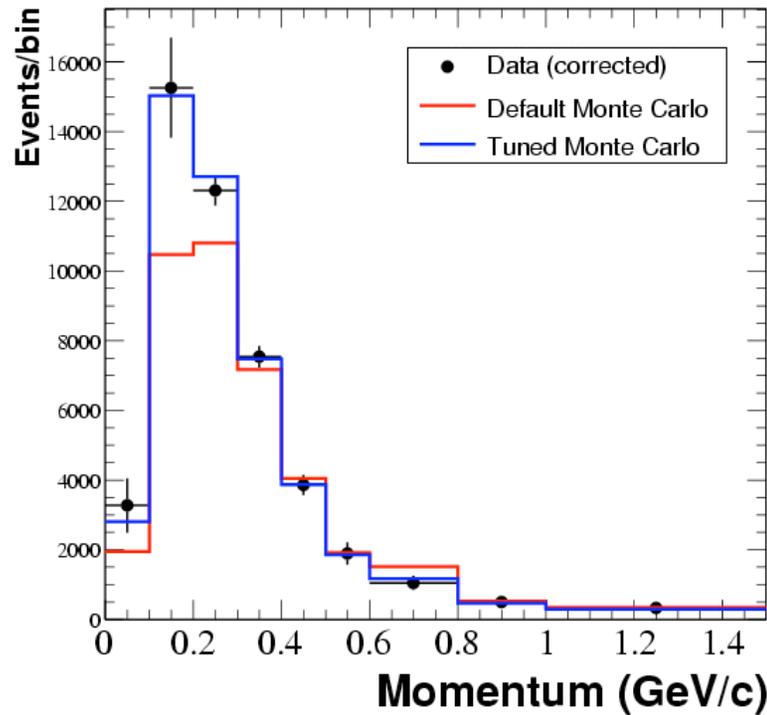
In both analyses,
high energy bins constrain
 ν_e background

In Boosted Decision
Tree analysis:
Low energy bin
($200 < E_\nu^{QE} < 300$ MeV)

constrains ν_μ mis-ids:
 π^0 , $\Delta \rightarrow N\gamma$, dirt ...

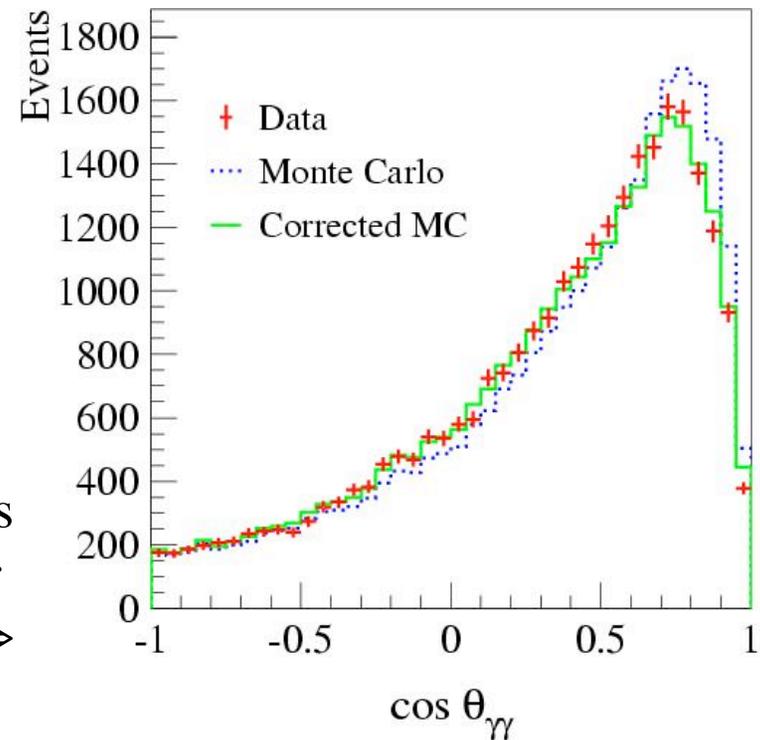


We constrain π^0 production using data from our detector



Reweighting improves agreement in other variables, e.g. \Rightarrow

This reduces the error on predicted mis-identified π^0 s



Because this constrains the Δ resonance rate, it also constrains the rate of $\Delta \rightarrow N\gamma$

Other Single Photon Sources

Neutral Current: $\nu + N \rightarrow \nu + N + \gamma$ negligible

From Efrosinin, hep-ph/0609169,
calculation checked by Goldman, LANL

Charged Current < 6 events @ 95% CL

$$\nu + N \rightarrow \mu + N' + \gamma$$

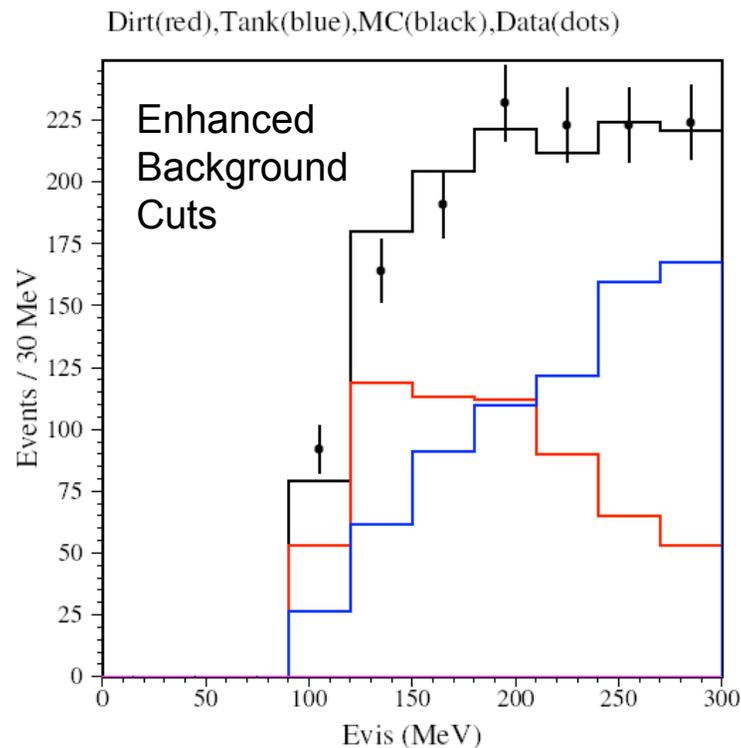
where the presence of the γ leads to mis-identification

Use events where the μ is tagged by the michel e^- ,
study misidentification using BDT algorithm.

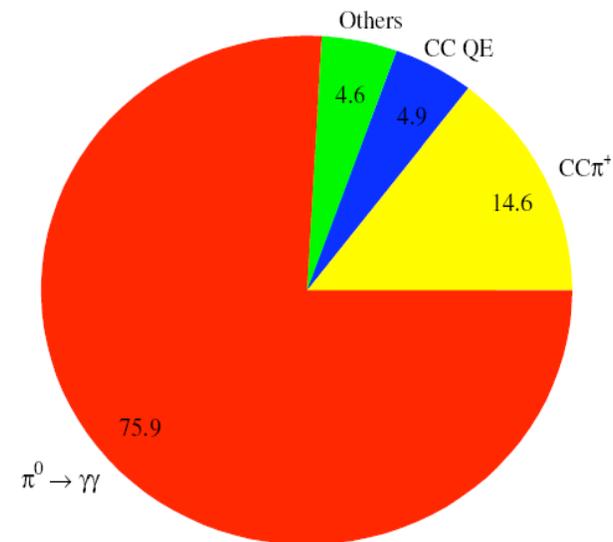
External Sources of Background

“Dirt” Events

ν interactions outside of the detector $N_{\text{data}}/N_{\text{MC}} = 0.99 \pm 0.15$



Event Type of Dirt after PID cuts



Cosmic Rays: Measured from out-of-beam data: 2.1 ± 0.5 events

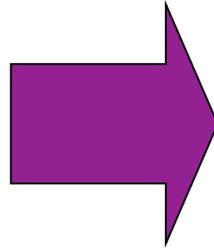
Summary of predicted backgrounds for
the final MiniBooNE result
(Track Based Analysis):

| Process | Number of Events |
|-----------------------------------|----------------------|
| ν_μ CCQE | 10 |
| $\nu_\mu e \rightarrow \nu_\mu e$ | 7 |
| Miscellaneous ν_μ Events | 13 |
| NC π^0 | 62 |
| NC $\Delta \rightarrow N\gamma$ | 20 |
| NC Coherent & Radiative γ | < 1 |
| Dirt Events | 17 |
| ν_e from μ Decay | 132 |
| ν_e from K^+ Decay | 71 |
| ν_e from K_L^0 Decay | 23 |
| ν_e from π Decay | 3 |
| Total Background | 358 |
| 0.26% $\nu_\mu \rightarrow \nu_e$ | (example signal) 163 |

Handling uncertainties in the analyses:

What we begin with...

For a given source
of uncertainty,
Errors on a wide range
of parameters
in the underlying model



... what we need

For a given source
of uncertainty,
Errors in bins of
 E_{ν}^{QE}
and information on
the correlations
between bins

How the constraints enter...

Two Approaches

TB: Reweight MC prediction to match measured ν_μ result
(accounting for systematic error correlations)

BDT: include the correlations of ν_μ to ν_e in the error matrix:

$$\chi^2 = \begin{pmatrix} \Delta_i^{\nu_e} & \Delta_i^{\nu_\mu} \end{pmatrix} \begin{pmatrix} M_{ij}^{e,e} & M_{ij}^{e,\mu} \\ M_{ij}^{\mu,e} & M_{ij}^{\mu,\mu} \end{pmatrix}^{-1} \begin{pmatrix} \Delta_j^{\nu_e} \\ \Delta_j^{\nu_\mu} \end{pmatrix}$$

where $\Delta_i^{\nu_e} = \text{Data}_i^{\nu_e} - \text{Pred}_i^{\nu_e}(\Delta m^2, \sin^2 2\theta)$ and $\Delta_i^{\nu_\mu} = \text{Data}_i^{\nu_\mu} - \text{Pred}_i^{\nu_\mu}$

Systematic (and statistical) uncertainties are included in $(M_{ij})^{-1}$
(i, j are bins of E_ν^{QE})

Example: Cross Section Uncertainties

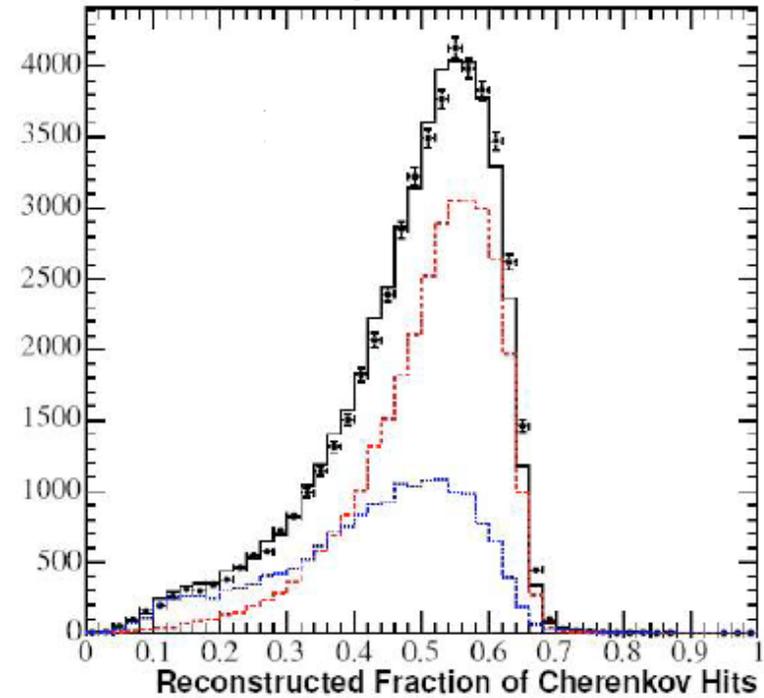
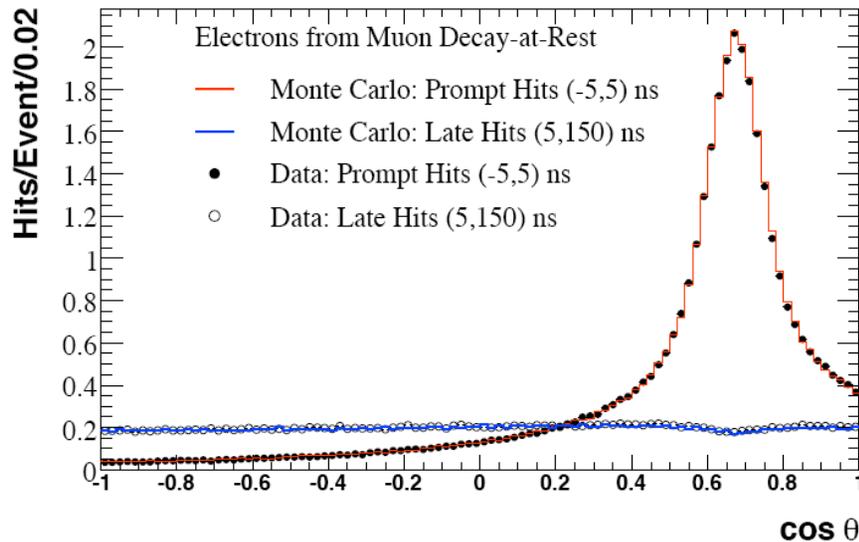
(Many are common to ν_μ and ν_e and cancel in the fit)

| | | |
|--|----------------------------------|---|
| $M_A^{\text{QE}}, e_{10}^{\text{sf}}$ | 6%, 2% (stat + bkg only) | determined from MiniBooNE ν_μ QE data |
| QE σ norm | 10% | |
| QE σ shape | function of E_ν | |
| ν_e/ν_μ QE σ | function of E_ν | |
| NC π^0 rate | function of π^0 mom | determined from MiniBooNE ν_μ NC π^0 data |
| $M_A^{\text{coh}}, \text{coh } \sigma$ | $\pm 25\%$ | |
| $\Delta \rightarrow N\gamma$ rate | function of γ mom + 7% BF | |
| E_B, p_F | 9 MeV, 30 MeV | determined from other experiments |
| Δs | 10% | |
| $M_A^{1\pi}$ | 25% | |
| $M_A^{N\pi}$ | 40% | |
| DIS σ | 25% | |

Example: Optical Model Uncertainties

39 parameters must be varied

Allowed variations are set by
the Michel calibration sample



To understand allowed variations,
we ran 70 hit-level simulations,
with differing parameters.

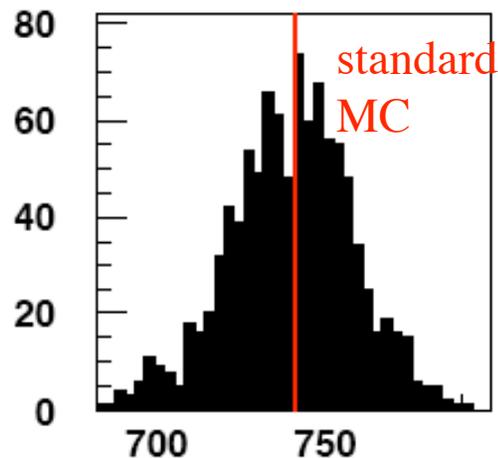
⇒ “Multisims”

Using Multisims to convert from errors on parameters
to errors in E_ν^{QE} bins:

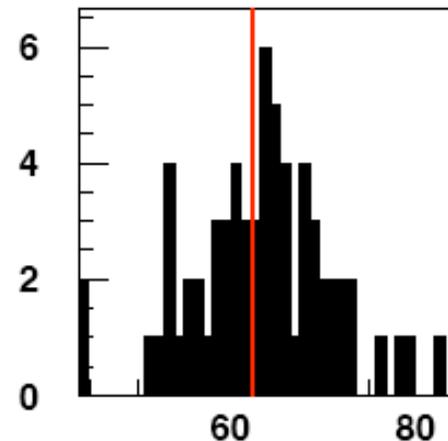
For each error source,

“Multisims” are generated within the allowed variations
by reweighting the standard Monte Carlo.
In the case of the OM, hit-level simulations are used.

1000 multisims for
 K^+ production



70 multisims
for the Optical Model



number of
multisims

Number of events passing cuts in bin $500 < E_\nu^{\text{QE}} < 600$ MeV

Error Matrix Elements:

$$E_{ij} \approx \frac{1}{M} \sum_{\alpha=1}^M \left(N_i^\alpha - N_i^{MC} \right) \left(N_j^\alpha - N_j^{MC} \right)$$

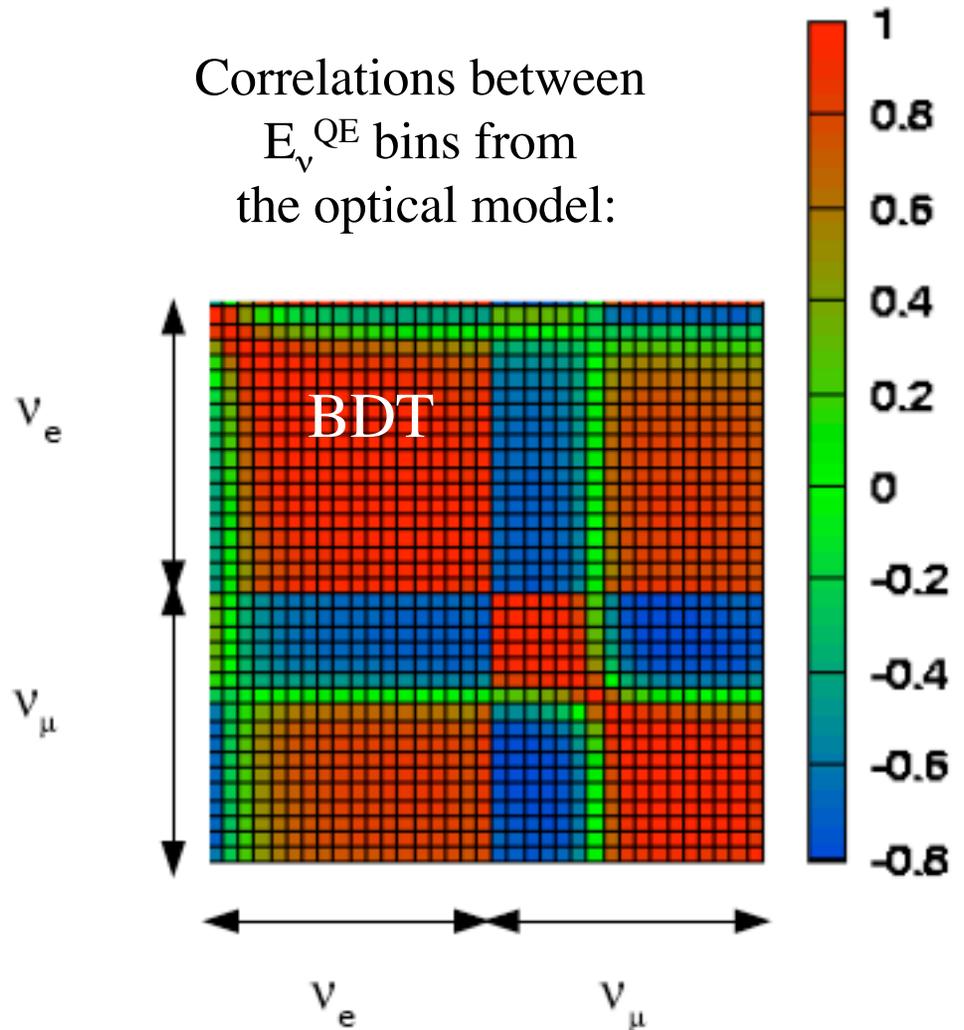
- N is number of events passing cuts
- MC is standard monte carlo
- α represents a given multisim
- M is the total number of multisims
- i,j are E_ν^{QE} bins

Total error matrix
is sum from each source.

TB: ν_e -only total error matrix

BDT: ν_μ - ν_e total error matrix

Correlations between
 E_ν^{QE} bins from
the optical model:



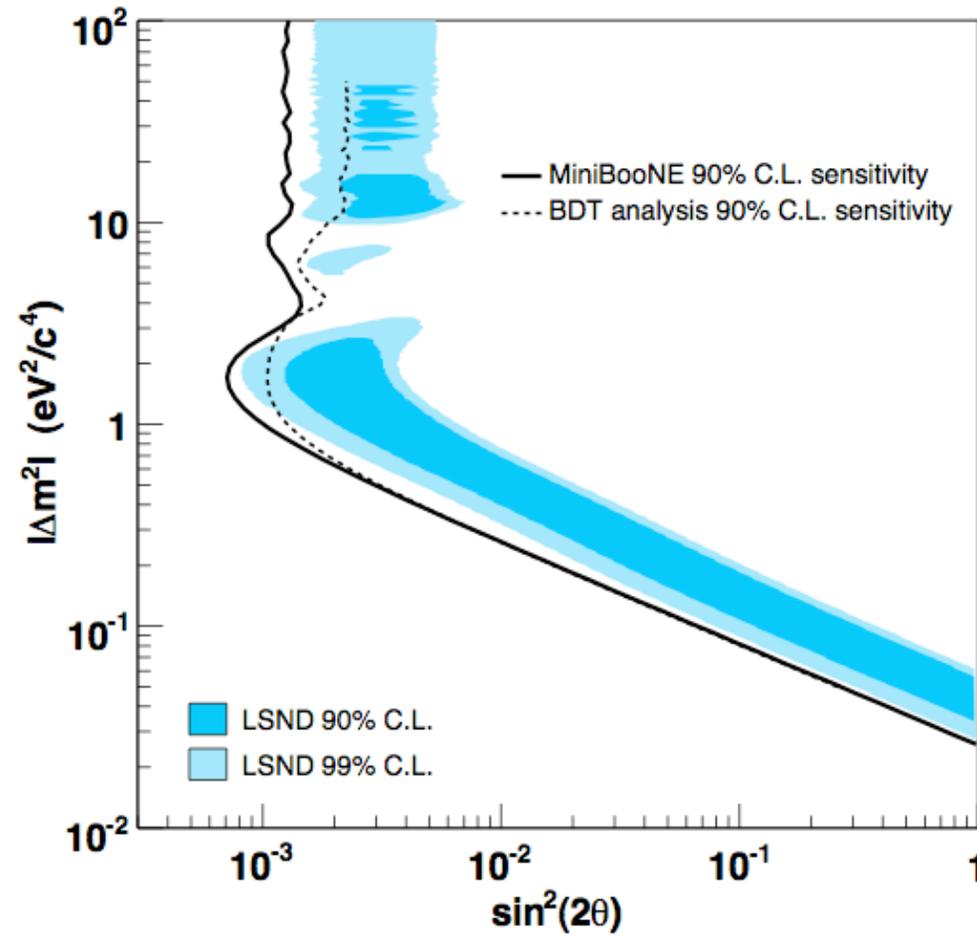
As we show distributions in E_ν^{QE} ,
keep in mind that error bars are
the diagonals of the error matrix.

The effect of correlations between E_ν^{QE} bins
is not shown,

however E_ν^{QE} bin-to-bin correlations
improve the sensitivity to oscillations,
which are based on an energy-dependent fit.

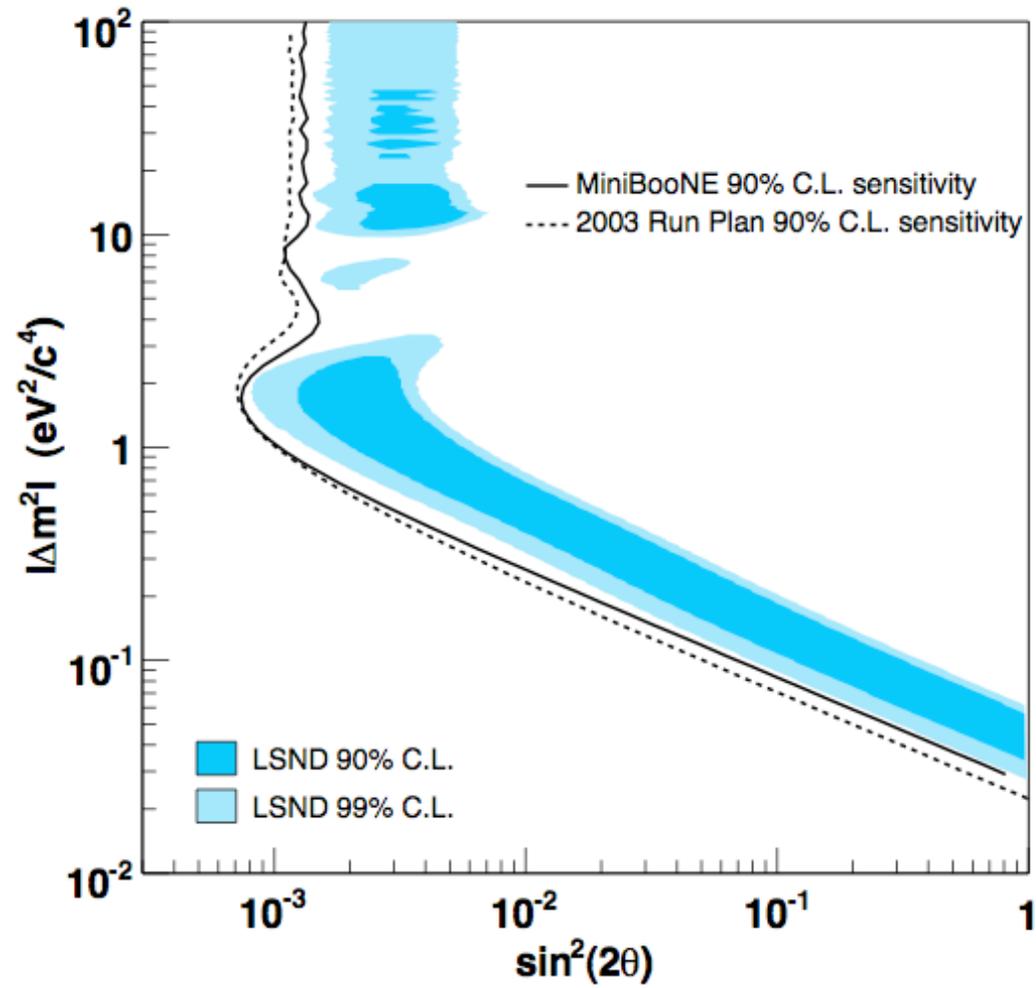
Sensitivity of the two analyses

The Track-based sensitivity is better,
thus this becomes the pre-determined default algorithm



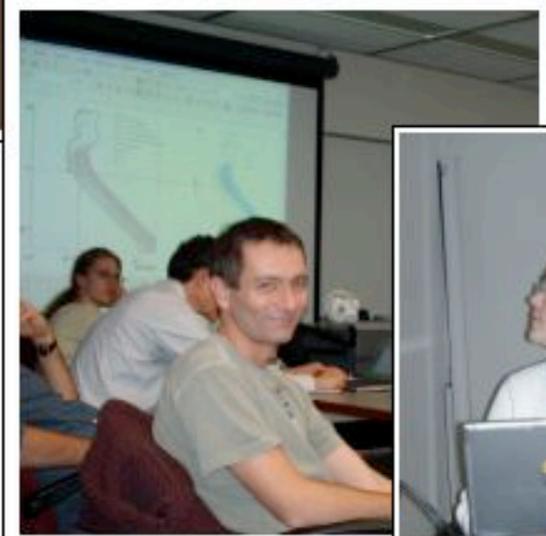
Set using $\Delta\chi^2=1.64$ @ 90% CL

Comparison to sensitivity goal for 5E20 POT determined by Fermilab PAC in 2003



The Initial Results

The Box Opening



Box Opening Procedure

Progress cautiously,
in a
step-wise fashion

After applying all analysis cuts:

1. Fit sequestered data to an oscillation hypothesis, returning no fit parameters. Return the χ^2 of the data/MC comparison for a set of diagnostic variables.
2. Open up the plots from step 1. The Monte Carlo has unreported signal. Plots chosen to be useful diagnostics, without indicating if signal was added.
3. Report the χ^2 for a fit to E_ν^{QE} , without returning fit parameters.
4. Compare E_ν^{QE} in data and Monte Carlo, returning the fit parameters.
At this point, the box is open (March 26, 2007)
5. Present results two weeks later.

Step 1

Return the χ^2 of the data/MC comparison for
a set of diagnostic variables

12 variables are tested for TB

46 variables are tested for BDT

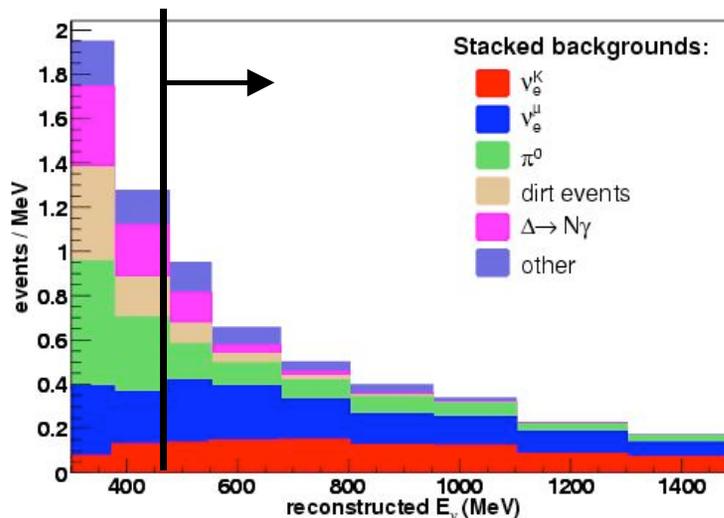
All analysis variables were returned with good
probability except...

Track Based analysis χ^2 Probability of E_{visible} fit: 1%

**This probability was sufficiently low
to merit further consideration**

In the Track Based analysis

- We re-examined our background estimates using sideband studies.
 \Rightarrow *We found no evidence of a problem*
- However, knowing that backgrounds rise at low energy,
We tightened the cuts for the oscillation fit:



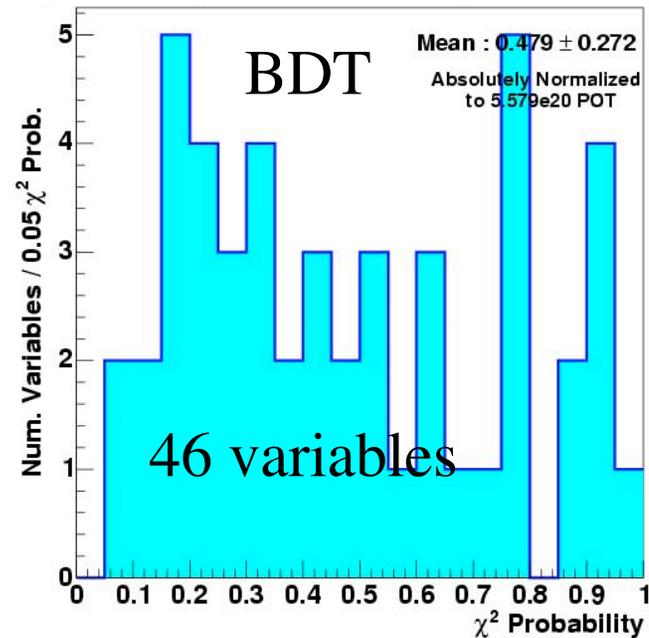
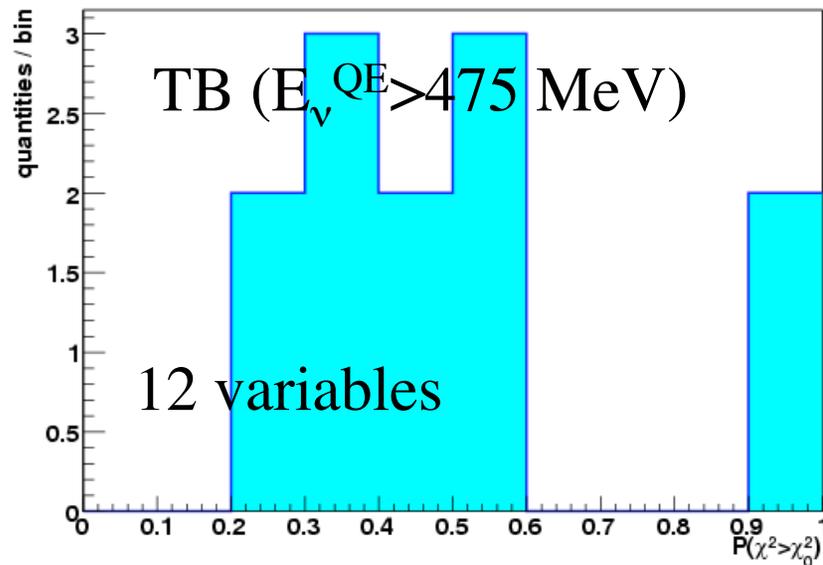
$$E_\nu^{\text{QE}} > 475 \text{ MeV}$$

We agreed to report events over the original full range:
 $E_\nu^{\text{QE}} > 300 \text{ MeV}$,

Step 1: again!

Return the χ^2 of the data/MC comparison for
a set of diagnostic variables

χ^2 probabilities returned:

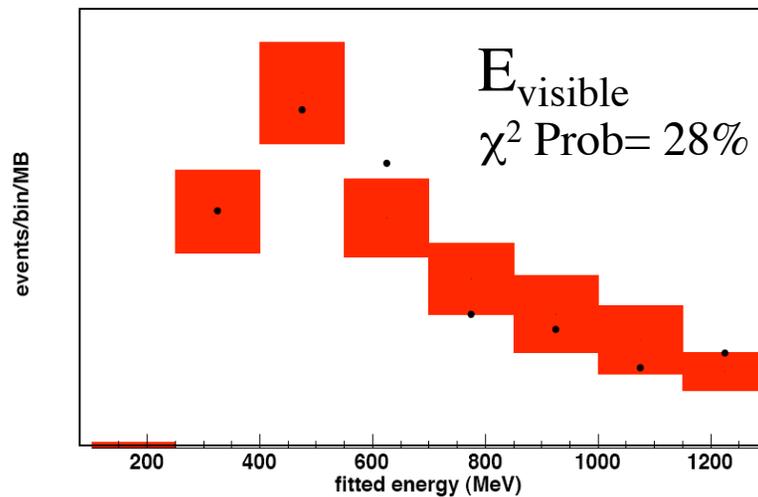


Parameters of the oscillation fit were not returned.

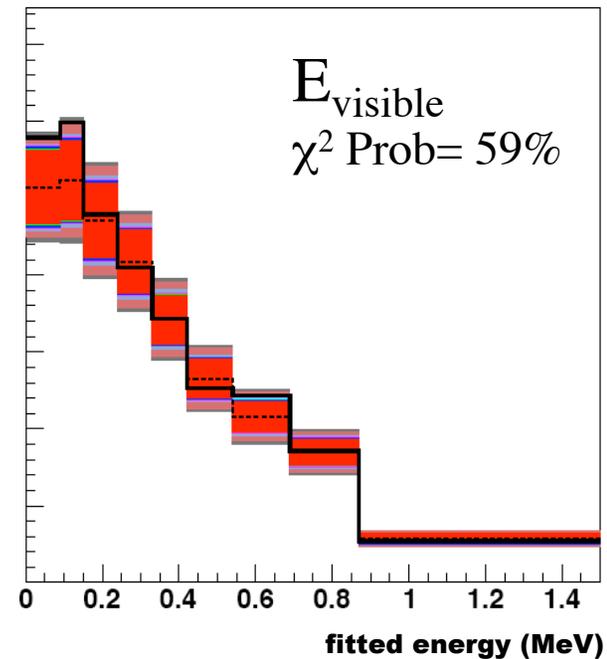
Step 2

Open up the plots from step 1 for approval.

*Examples of
what we saw:*



TB ($E_{\nu}^{\text{QE}} > 475$ MeV)



BDT

MC contains fitted signal at unknown level

Step 3

Report the χ^2 for a fit to E_ν^{QE} across full energy range

TB ($E_\nu^{\text{QE}} > 475$ MeV) χ^2 Probability of fit: 99%

BDT analysis χ^2 Probability of fit: 52%

Leading to...

Step 4

Open the box...

The Track-based $\nu_{\mu} \rightarrow \nu_e$ Appearance-only Result:

Counting Experiment: $475 < E_{\nu}^{\text{QE}} < 1250$ MeV

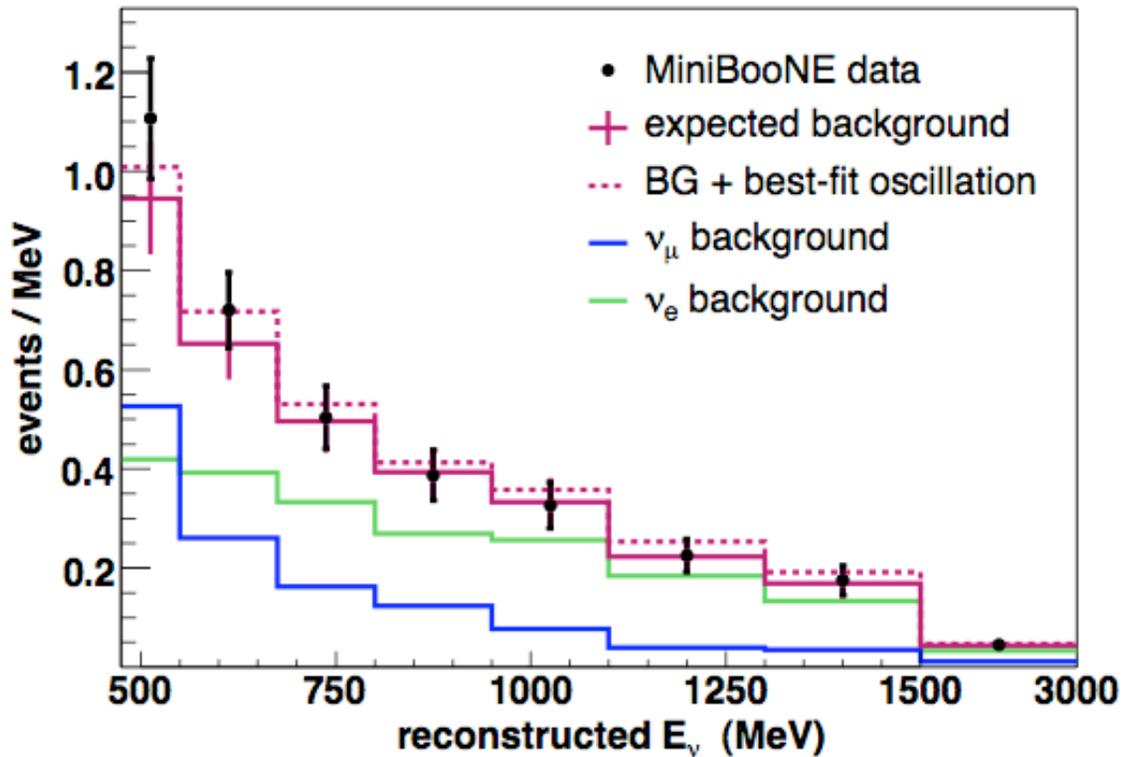
data: 380 events

expectation: 358 ± 19 (stat) ± 35 (sys) events

significance:

0.55σ

Track Based energy dependent fit results:
Data are in good agreement with background prediction.

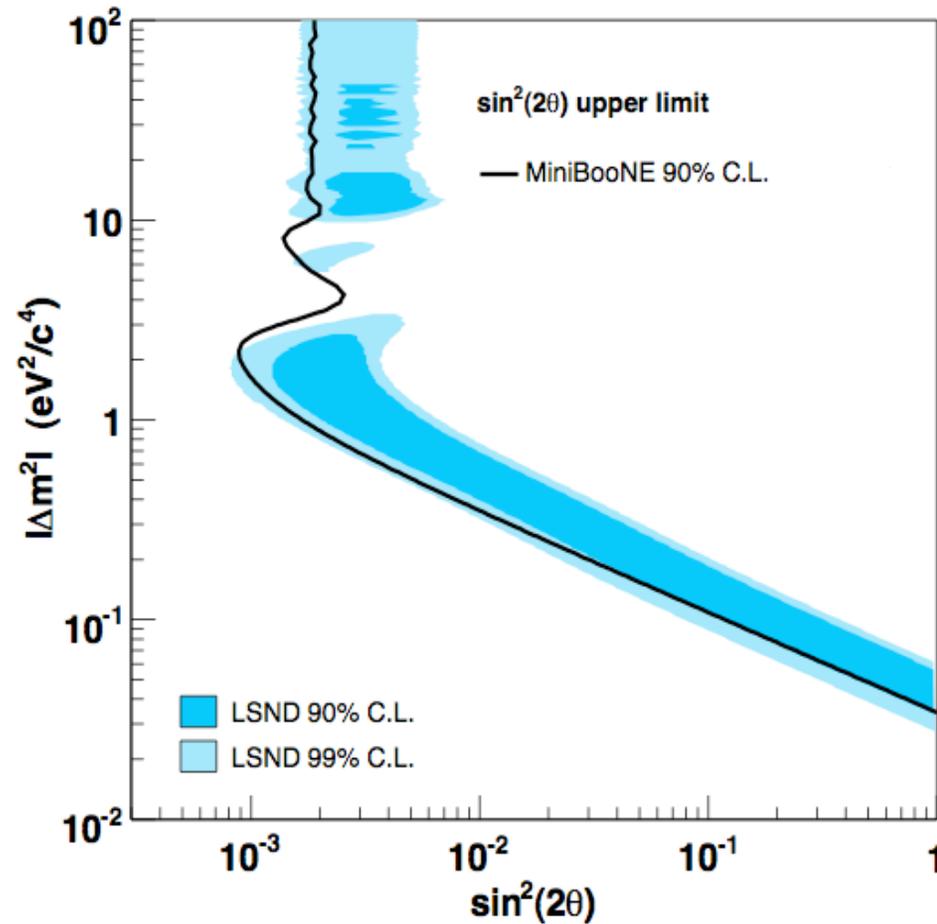


*Error bars are
diagonals of
error matrix.*

*Fit errors
for >475 MeV:
Normalization 9.6%
Energy scale: 2.3%*

Best Fit (dashed): $(\sin^2 2\theta, \Delta m^2) = (0.001, 4 \text{ eV}^2)$

The result of
the $\nu_\mu \rightarrow \nu_e$ appearance-only analysis
is a limit on oscillations:



χ^2 probability,
null hypothesis: 93%

Energy fit: $475 < E_\nu^{QE} < 3000$ MeV

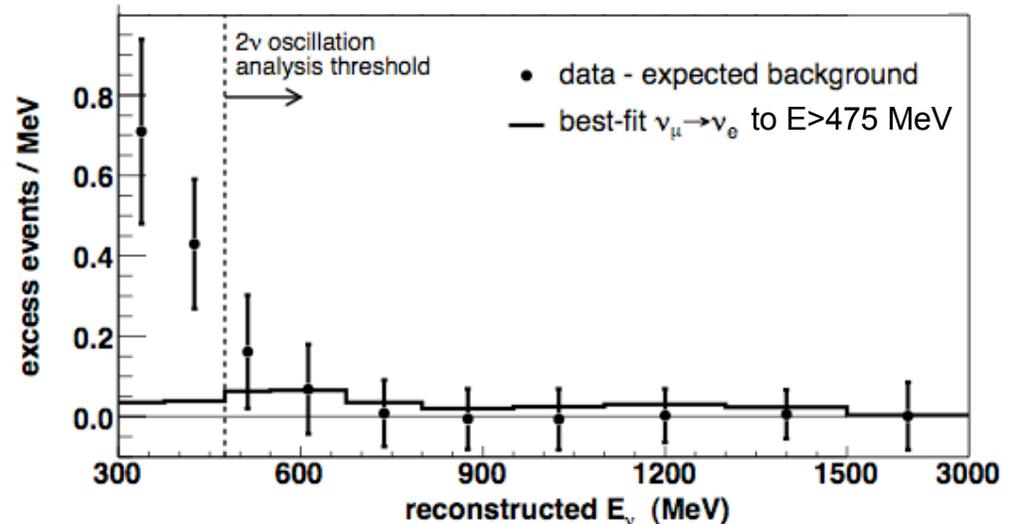
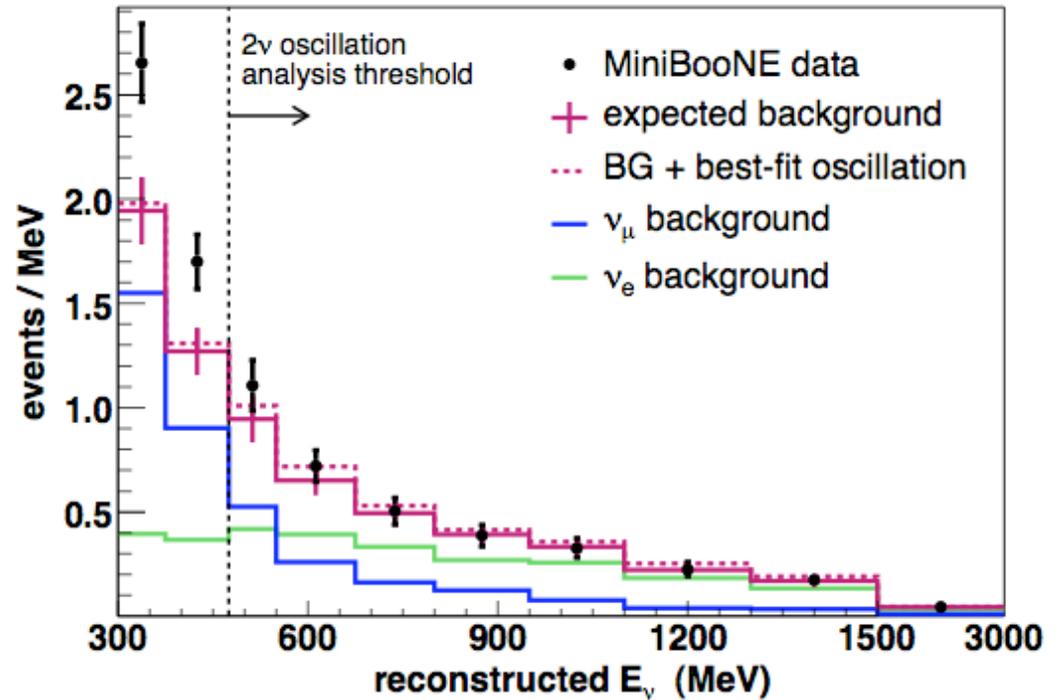
*As planned before
opening the box....*

Report the full range:
 $300 < E_\nu^{\text{QE}} < 3000 \text{ MeV}$

$96 \pm 17 \pm 20$ events
above background,
for $300 < E_\nu^{\text{QE}} < 475 \text{ MeV}$

Deviation: 3.7σ

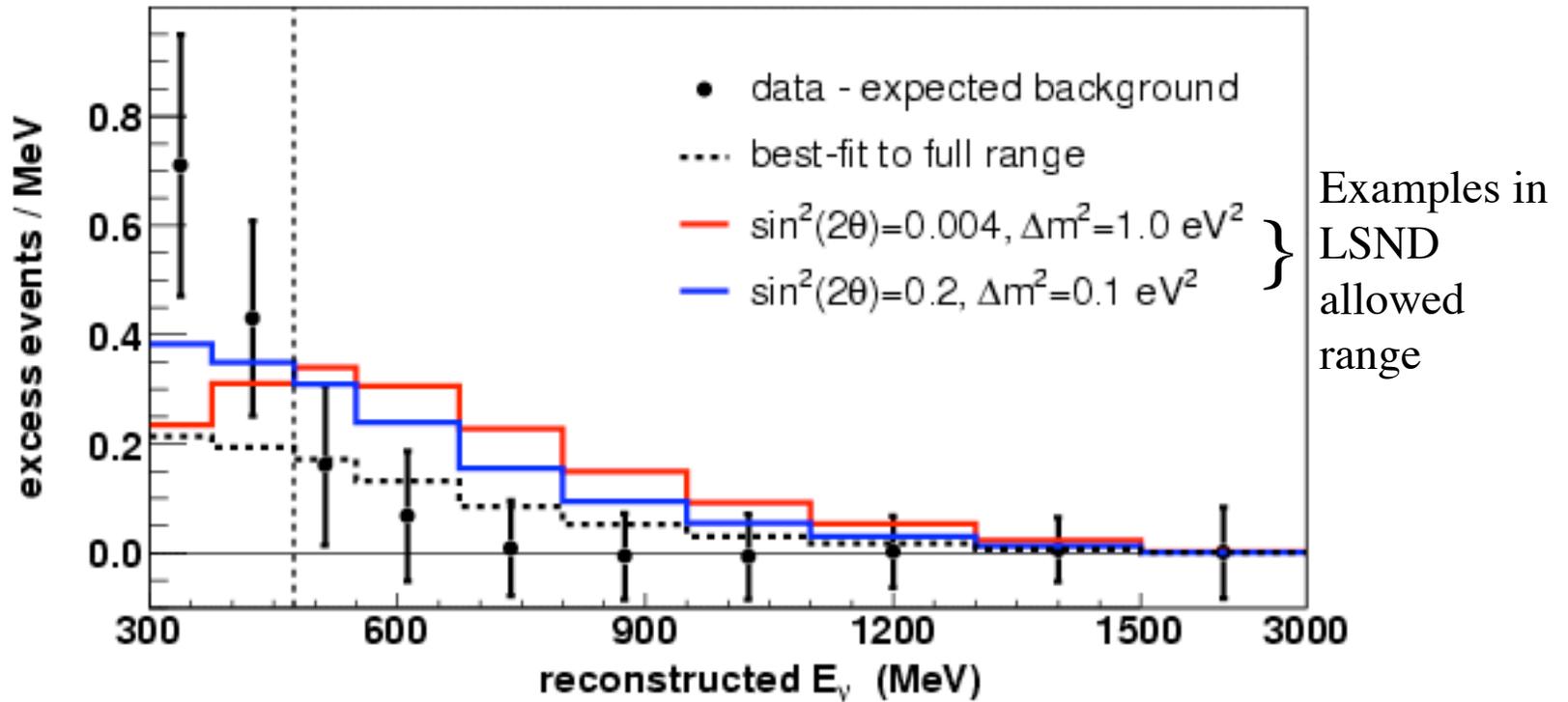
Background-subtracted:



Fit to the > 300 MeV range:

Best Fit (dashed): $(\sin^2 2\theta, \Delta m^2) = (1.0, 0.03 \text{ eV}^2)$

χ^2 Probability: 18%



This is interesting, but requires further investigation

⇒ A two-neutrino appearance-only model systematically disagrees with the shape as a function of energy.

⇒ We need to investigate non-oscillation explanations, including unexpected behavior of low energy cross sections.
This will be relevant to future $\nu_\mu \rightarrow \nu_e$ searches

This will be addressed by MiniBooNE and SciBooNE

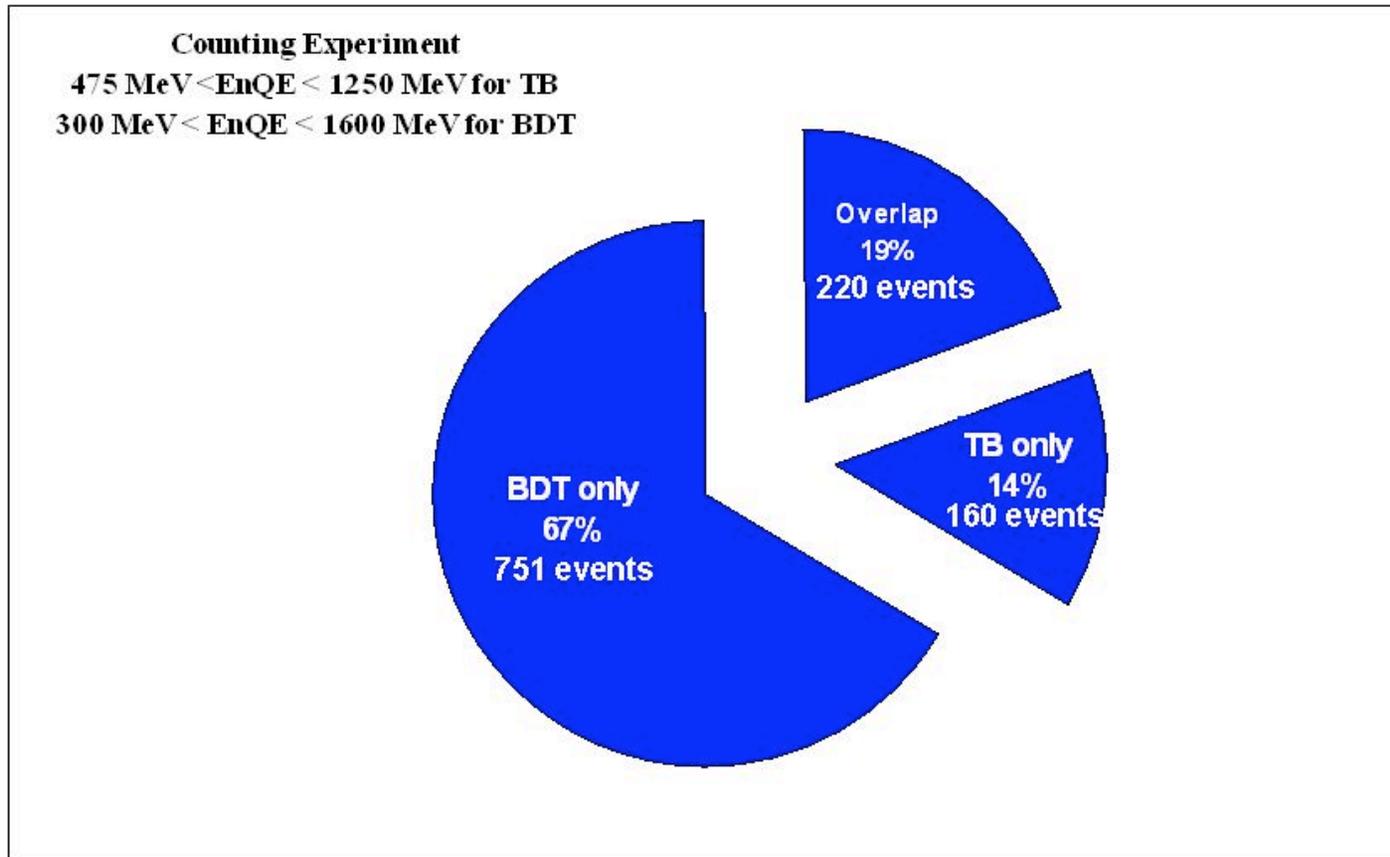
Boosted Decision Tree Analysis

Counting Experiment: $300 < E_{\nu}^{\text{QE}} < 1600$ MeV

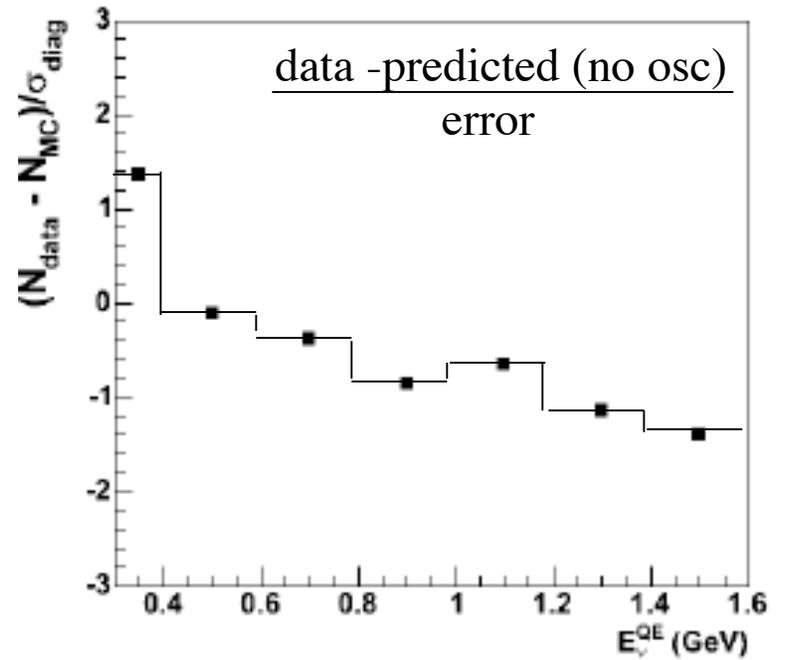
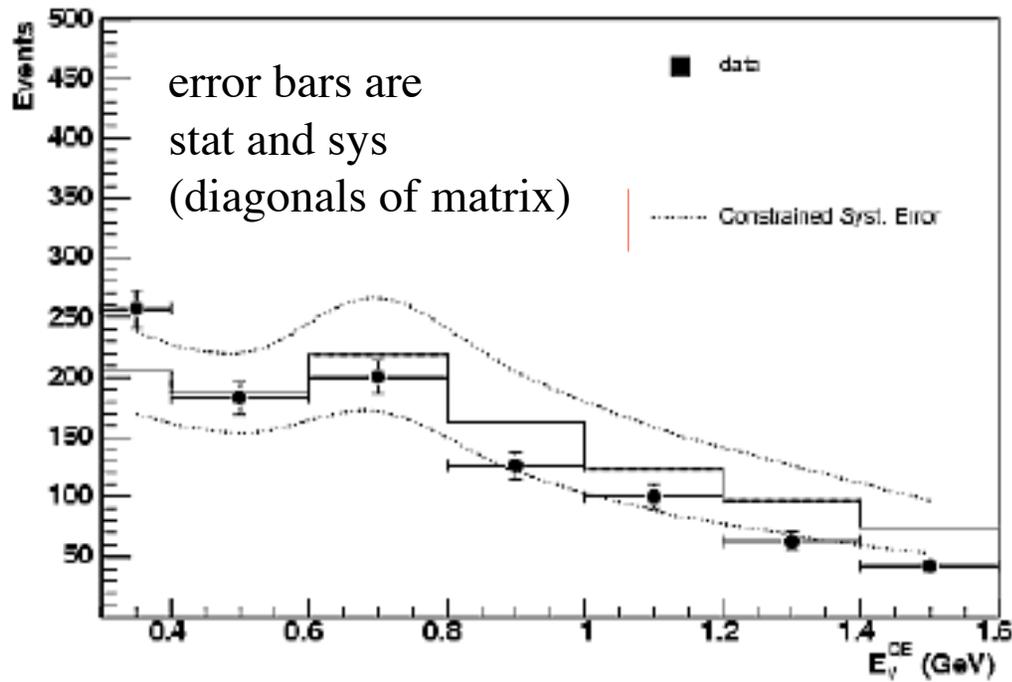
data: 971 events

expectation: 1070 ± 33 (stat) ± 225 (sys) events

significance: -0.38σ

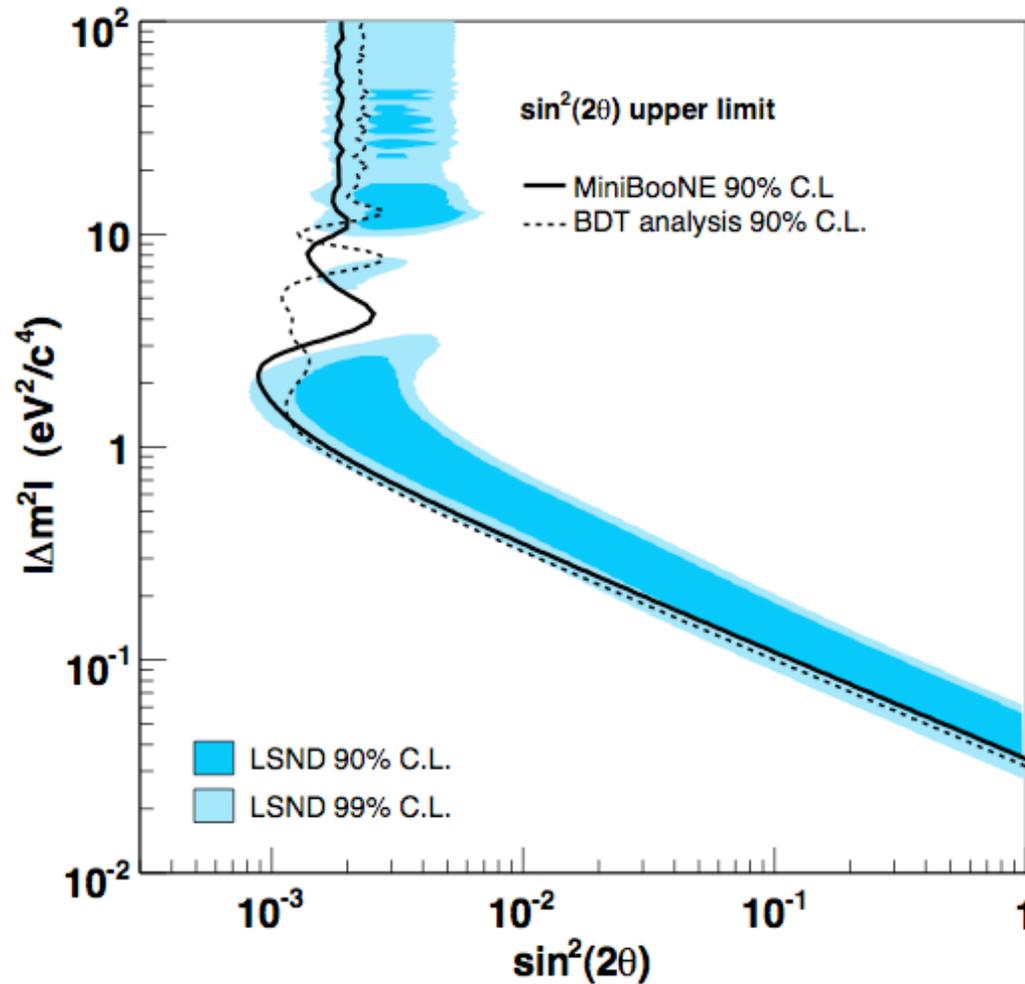


Boosted Decision Tree E_ν^{QE} data/MC comparison:



(sidebands used for constraint not shown)

Boosted Decision Tree analysis shows no evidence for $\nu_\mu \rightarrow \nu_e$ appearance-only oscillations.



Energy-fit analysis:
solid: TB
dashed: BDT

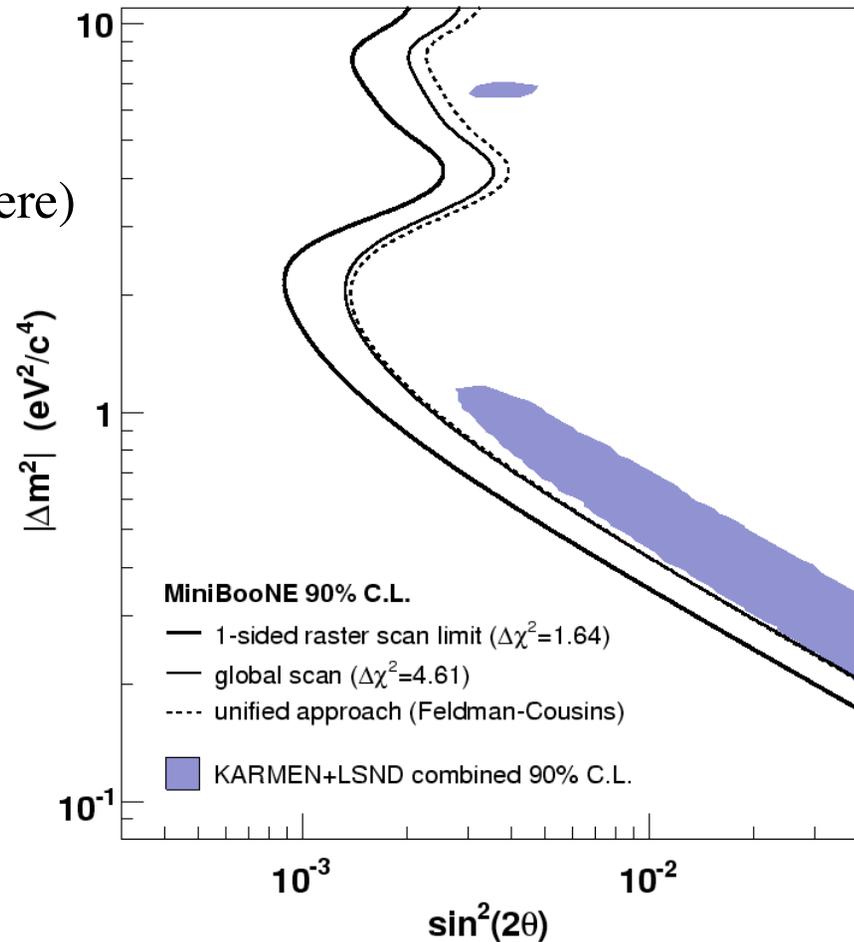
Independent analyses
are in good agreement.

Two points on interpreting our limit

- 1) There are various ways to present limits:
 - Single sided raster scan (historically used, presented here)
 - Global scan
 - Unified approach (most recent method)

- 2) This result must be folded into an LSND-Karmen joint analysis.

Church, et al., PRD 66, 013001



We will present a full joint analysis soon.

A MiniBooNE-LSND Compatibility Test

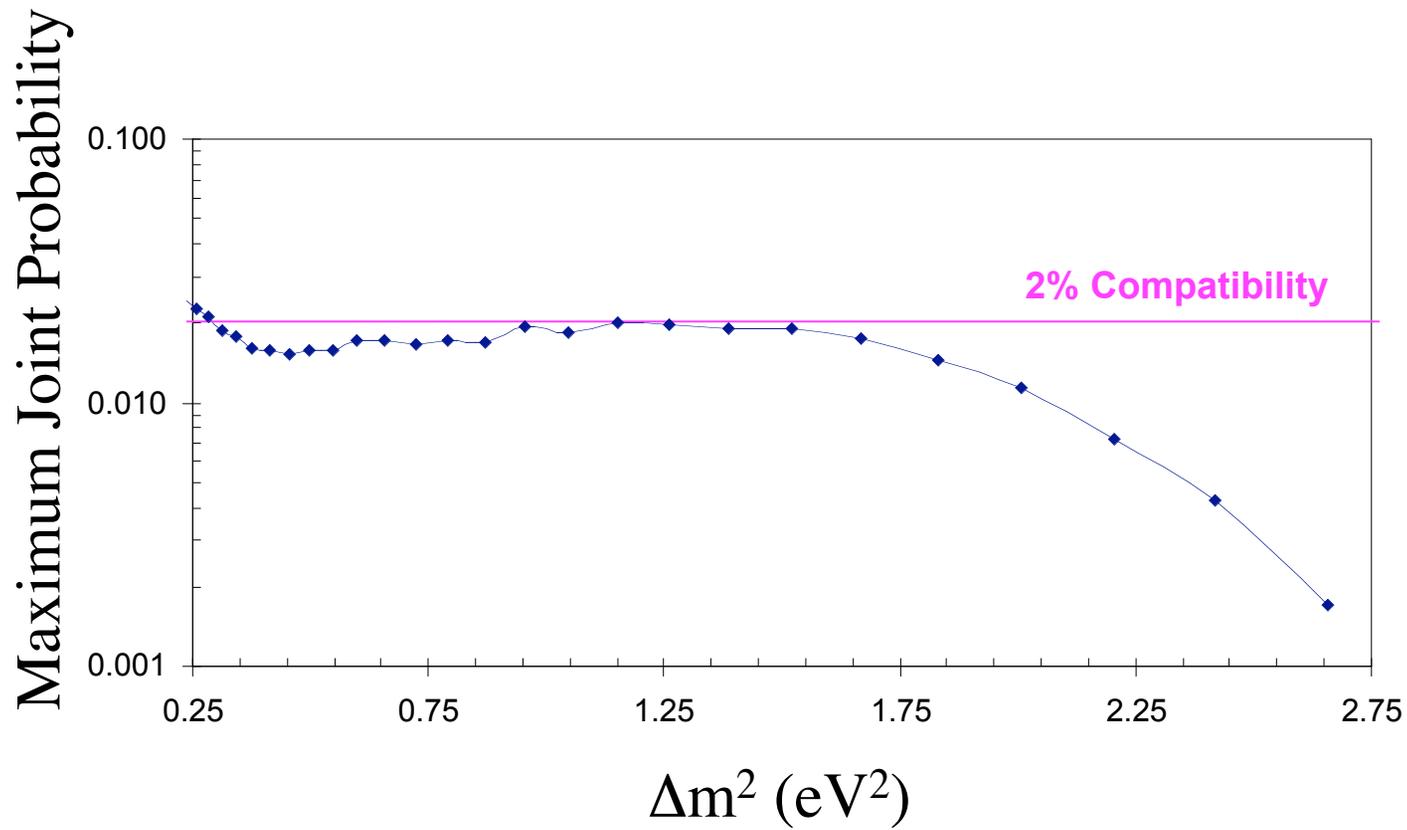
$$\chi_0^2 = \frac{(z_{MB} - z_0)^2}{\sigma_{MB}^2} + \frac{(z_{LSND} - z_0)^2}{\sigma_{LSND}^2}$$

- For each Δm^2 , determine the MB and LSND measurement:

$$z_{MB} \pm \delta z_{MB}, \quad z_{LSND} \pm \delta z_{LSND}$$

where $z = \sin^2(2\theta)$ and δz is the 1σ error

- For each Δm^2 , form χ^2 between MB and LSND measurement
- Find z_0 that minimizes χ^2
(weighted average of two measurements) and this gives χ_{\min}^2
- Find probability of χ_{\min}^2 for 1 dof;
this is the joint compatibility probability for this Δm^2



MiniBooNE is incompatible with a
 $\nu_\mu \rightarrow \nu_e$ appearance only interpretation of LSND
at 98% CL

Plans:

A paper on this analysis will be posted to the “archive”
and to the MiniBooNE webpage after 5 CT today.

Many more papers supporting this analysis will follow,
in the very near future:

ν_{μ} CCQE production

π^0 production

MiniBooNE-LSND-Karmen joint analysis

We are pursuing further analyses of the neutrino data,
including...

an analysis which combines TB and BDT,
more exotic models for the LSND effect.

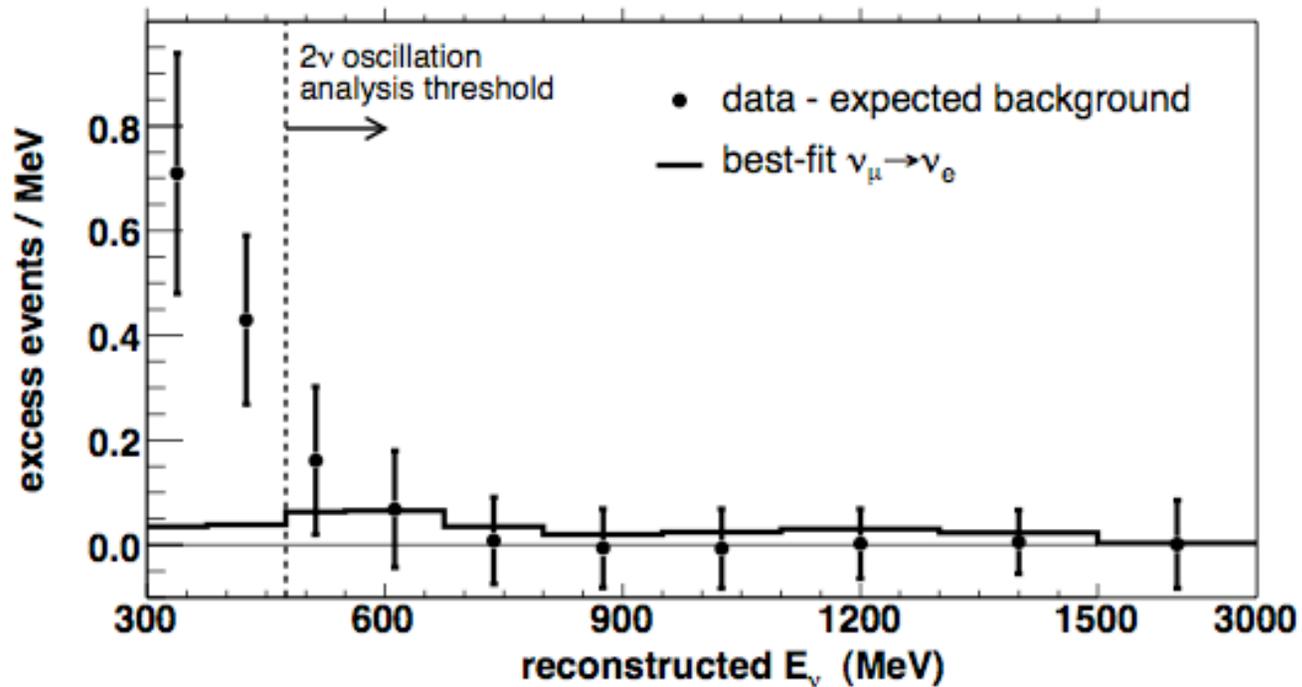
MiniBooNE is presently taking data in antineutrino mode.

Conclusions

Our goals for this first analysis were:

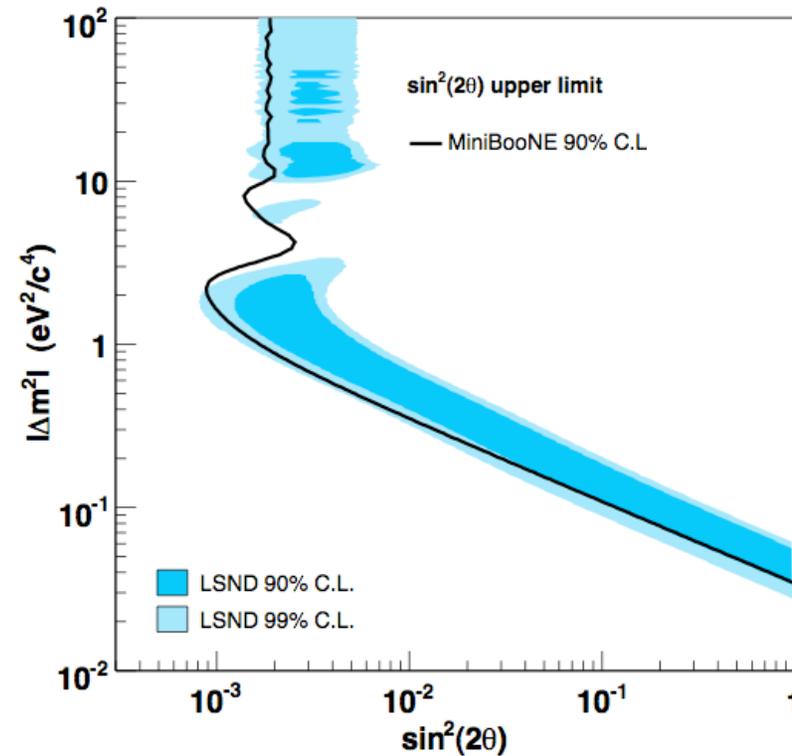
- A generic search for a ν_e excess in our ν_μ beam,
- An analysis of the data within a $\nu_\mu \rightarrow \nu_e$ appearance-only context

Within the energy range defined by this oscillation analysis, the event rate is consistent with background.



The observed low energy deviation is under investigation.

The observed reconstructed energy distribution is inconsistent with a $\nu_\mu \rightarrow \nu_e$ appearance-only model



Therefore we set a limit on $\nu_\mu \rightarrow \nu_e$ appearance

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